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**Conference of the Parties to the  
Minamata Convention on Mercury  
Fifth meeting**

Geneva, 30 October–3 November 2023

Item 4 (j) of the provisional agenda\*

**Matters for consideration or action by the Conference  
of the Parties: national reporting****National reporting (article 21)****Addendum****Proposed amendments to the reporting format****Note by the secretariat****I. Introduction**

1. The Conference of the Parties to the Minamata Convention on Mercury, in decision MC-1/8, agreed on the timing and format of national reporting according to article 21 of the Convention. Pursuant to decision MC-1/8, parties were to submit their first full national reports by 31 December 2021.
2. In decision MC-4/8, the Conference of the Parties provided clarification of the reporting format, as set out in the annex to the decision. As requested in the same decision, the secretariat has reflected that clarification in the reporting format and the online reporting tool, specifically in questions 3.1 and 3.5, and the clarified reporting format has been brought into use for the second short reports by parties due by 31 December 2023.
3. In decision MC-4/3, the Conference of the Parties decided to amend part I and part II of annex A to the Convention, and in paragraph 4 of that decision, the Conference of the Parties requested the secretariat to provide draft text to revise the reporting format to enable the collection of information on the measures taken related to the provisions that were added by the amendment, for consideration by the Conference of the Parties at its fifth meeting. Section II of the present note introduces the proposed amendments to the reporting format.
4. Furthermore, the Conference of the Parties, in paragraph 6 (a) of decision MC-4/8, requested the secretariat, on the basis of parties' experience of completing the first full reports, which were due by 31 December 2021, to identify any questions regarding the reporting format that might pose challenges to parties in responding, and to propose related clarifications, as appropriate, to the Conference of the Parties at its fifth meeting.
5. The secretariat identified such questions in its report on the first full national reports to the fifth meeting of the Implementation and Compliance Committee. The Implementation and Compliance Committee considered the secretariat's report and agreed on several recommendations to the

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\* UNEP/MC/COP.5/1.

Conference of the Parties with respect to amending the reporting format. Those recommendations are presented in section III of the present note. The report on the work of the Implementation and Compliance Committee, including the recommendations, is presented in document UNEP/MC/COP.5/14.

6. Furthermore, the secretariat identified additional questions based on experiences that parties shared when providing additional comments on the draft reporting guidance (set out in document UNEP/MC/COP.4/17), pursuant to paragraph 6 (b) of decision MC-4/8. The additional proposals to amend the reporting format are presented in section IV of the present note.

## II. Proposed amendments to the reporting format in accordance with decision MC-4/3

7. In this section, the secretariat has provided draft text to revise the reporting format following the amendments to parts I and II of annex A to the Convention adopted in decision MC-4/3, and as requested in paragraph 4 of that decision.

8. Two questions in the reporting format relate to the amended annex A: question 4.1 and question 4.3.

9. In question 4.1, parties are asked to report on any appropriate measures taken to not allow the manufacture, import or export of mercury-added products listed in the amended part I of annex A to the Convention.

10. Question 4.1 with the text based on decision MC-1/8:

**Question 4.1:** Has the party taken any appropriate measures to not allow the manufacture, import or export of mercury-added products listed in part I of annex A to the Convention after the phase-out date specified for those products? (para.1)

*If the party is implementing paragraph 2, please skip to question 4.2.*

- Yes  
 No

If **yes**, please provide information on the measures.

If **no**, has the party registered for an exemption pursuant to article 6?

- Yes  
 No

If **yes**, for which products (please list)? (para. 1, para. 2 (d))

11. The secretariat proposes that no revision to question 4.1 is necessary pursuant to decision MC-4/3. The additions to the mercury-added products of the amended annex A will be reflected directly in the online reporting tool, in which parties are presented with a list of mercury-added products they can choose from with an explanation box per product for parties to add relevant information on the measures taken. This version of the online reporting tool will be implemented for the second full national reporting cycle covering the period from 1 January 2021 to 31 December 2024, and due by 31 December 2025.

12. Question 4.3, in accordance with decision MC-1/8, requests parties to report on *two or more* measures taken for the mercury-added products listed in part II of annex A in accordance with the provisions set out therein.

13. Question 4.3 with the text based on decision MC-1/8:

**Question 4.3:** Has the party taken two or more measures for the mercury-added products listed in part II of annex A in accordance with the provisions set out therein? (para. 3)

- Yes  
 No

If **yes**, please provide information on the measures.

14. In paragraph 1 of decision MC-3/2, the Conference of the Parties encouraged parties to take **more than two** required measures in accordance with part II of annex A to the Convention to phase down the use of dental amalgam. In decision MC-4/3, the Conference of the Parties decided to amend part II of annex A to the Convention by adding two measures to be taken by parties to phase down the use of dental amalgam. Pursuant to decision MC-4/3, the following revision of question 4.3 is proposed (the proposed text is double-underlined):

**Question 4.3:** Has the party taken two or more measures listed in subparagraphs (i) to (ix) of part II of annex A for the mercury-added products listed in part II of annex A in accordance with the provisions set out therein?

- Yes  
 No

If **yes**, please provide information on the measures.

If the amendment to annex A adopted in decision MC-4/3 has entered into force for the party, has the party (please check the appropriate box below) taken relevant measures:

- Yes – excluded or not allowed, by taking measures as appropriate, the use of mercury in bulk form by dental practitioners  
 Yes – excluded or not allowed, by taking measures as appropriate, or recommended against, the use of dental amalgam for the dental treatment of deciduous teeth of patients under 15 years of age and of pregnant and breastfeeding women, except when considered necessary by the dental practitioner based on the needs of the patient  
 No

If the party answered **yes** to either option above, please provide information on the measures.

If the party answered **no** to either question above, please explain.

### III. Recommendations by the Implementation and Compliance Committee to amend the reporting format

15. The Implementation and Compliance Committee considered the secretariat's report based on the information from the first full national reports at its fifth meeting, which was held in Geneva from 7 to 9 March 2023. The secretariat's report included, among other things, information on the questions in the reporting format that posed challenges to parties in responding. The Committee agreed on several recommendations to the Conference of the Parties with respect to amending the reporting format, as presented in the present section. The proposed added text are double-underlined, and the deleted text in strikethrough, in each of the reporting format question boxes below.

16. Regarding questions 3.1 and 3.2, to encourage parties to provide as much information as possible on mercury mining being undertaken in their territories, the Committee recommended amending the reporting format as follows:

17. For question 3.1, delete "yes" and replace with the following response options and follow-up question:

- Yes - primary mercury mining with available data  
 Yes - primary mercury mining with no available data

If **yes**, either option above, please explain:

#### Proposed amended question 3.1

**Question 3.1:** Does the party have any primary mercury mines that were operating within its territory at the date of entry into force of the Convention for the party? (para. 3)

- Yes – primary mercury mining with available data  
 Yes – primary mercury mining with no available data  
 No

If the party answered **yes** to either option above, please indicate:

a) The anticipated date of closure of the mine(s): (*month, year*) OR

- b) The date upon which the mine(s) closed: *(month) (year)*
- c)\*Total amount of mercury mined \_\_\_\_\_ metric tons per year

18. For question 3.2, delete “yes” and replace with the following response options:

- Yes – primary mercury mining with available data, please explain
- Yes – primary mercury mining with no available data, please explain

### Proposed amended question 3.2

**Question 3.2:** Does the party have any primary mercury mines that are now in operation that were not in operation at the time of entry into force of the Convention for the party? (para. 3, para. 11)

- Yes – primary mercury mining with available data
- Yes – primary mining with no available data
- No

If the party answered **yes** to either option above, please explain

19. Regarding question 3.3, to reflect the continuing nature of the obligation to endeavour and improve clarity of the response options for parties, the Committee recommended amending the reporting format by replacing “yes” with two response options and modifying the follow-up questions as follows:

- Yes – with new data\* (also to be used by parties reporting for the first time)
- Yes – same stocks as reported in the previous report

20. \*If the party answered **yes – with new data** to the question above:

- i. Please attach the results of your endeavour or indicate where it is available on the internet.
- ii. Supplemental: Please provide any related information, for example on the use or disposal of mercury from such stocks and sources.

### Proposed amended question 3.3

**Question 3.3:** Has the party endeavoured to identify individual stocks of mercury or mercury compounds exceeding 50 metric tons and sources of mercury supply generating stocks exceeding 10 metric tons per year that are located within its territory? (para. 5)

- Yes – with new data\* (also to be used by parties reporting for the first time)
- Yes – same stocks as reported in the previous report
- No

a) \*If the party answered **yes – with new data** to the question above:

- i. Please attach the results of your endeavour or indicate where it is available on the internet, ~~unless changed from a previous reporting round~~
- ii. Supplemental: Please provide any related information – for example, on the use or disposal of mercury from such stocks and sources.

b) If the party answered **no** above, please explain.

21. Regarding question 3.5, to improve the clarity of responses by providing additional options for parties to choose from that best reflect the party’s national situation, the Committee recommended amending the reporting format by deleting “no” and replacing it with two response options and the applicable follow-up question, as follows:

- No – no export took place<sup>1</sup>

<sup>1</sup> Pursuant to decision MC-4/8, question 3.5 was amended by changing the “no” option to “no – no export took place” to indicate that the party did not export mercury. The amendment has been implemented for the second short report.

- No – consent was not given

If the party answered **no – consent was not given**, please indicate, information on the existence of trade which is not in compliance of the Convention, the challenges met by parties and/or its needs in meeting article 3 requirements.

#### Proposed amended question 3.5

**Question 3.5:** \*Has the party received consent, or relied on a general notification of consent, in accordance with article 3, including any required certification from importing non-parties, for all exports of mercury from the party's territory in the reporting period? (para. 6, para. 7)

- Yes, exports to parties
- Yes, exports to non-parties
- No – no export took place
- No – consent was not given

If the party answered yes,

(a) and the party has submitted copies of the consent forms to the secretariat, then no further information is needed.

If the party has not previously provided such copies, it is recommended that it do so.

Otherwise, please provide other suitable information showing that the relevant requirements of paragraph 6 of article 3 have been met.

Supplemental: Please provide information on the use of the exported mercury.

(b) If exports were based on a general notification in accordance with article 3, paragraph 7, please indicate, if available, the total amount exported and any relevant terms or conditions in the general notification related to use.

If the party answered **no – consent was not given**, please provide information on the trade which was not in compliance with the Convention, the challenges met by the party and/or its needs in meeting the requirements of paragraphs 6 and 7 of article 3.

22. Regarding question 4.4, the Committee recommended amending the reporting format by adding to question 4.4 an additional answer option, “no - not applicable (do not have facilities assembling products using mercury-added products)”.

#### Proposed amended question 4.4

**Question 4.4:** Has the party taken measures to prevent the incorporation into assembled products of mercury-added products whose manufacture, import and export are not allowed for it under article 4? (para. 5)

- Yes
- No
- No – not applicable (do not have facilities assembling products using mercury-added products)

If **yes**, please provide information on the measures.

23. Regarding question 5.3, to provide an opportunity for parties to explain challenges encountered, if any, in the implementation of paragraph 3 of article 5, the Committee recommended amending question 5.3 in the reporting format by adding “If no, please explain including any challenges encountered” after “If yes, please provide information on these measures” for each of the processes enumerated in the question.

**Proposed amended question 5.3**

**Question 5.3:** Are measures in place to restrict the use of mercury or mercury compounds in the processes listed in part II of annex B in accordance with the provisions set out therein? (para. 3)

Vinyl chloride monomer production:

- Yes
- No
- Not applicable (*do not have these facilities*)

If **yes**, please provide information on these measures.

If **no**, please explain, including any challenges encountered.

Sodium or potassium methylate or ethylate:

- Yes
- No
- Not applicable (*do not have these facilities*)

If **yes**, please provide information on these measures.

If **no**, please explain, including any challenges encountered.

Production of polyurethane using mercury-containing catalysts:

- Yes
- No
- Not applicable (*do not have these facilities*)

If **yes**, please provide information on these measures.

If **no**, please explain, including any challenges encountered.

24. Regarding question 7.2, to allow parties that do not have artisanal and small-scale gold mining to respond to question 7.5, the Committee recommended amending question 7.2 in the reporting format, by replacing the instruction, "If no, please proceed to article 8 on emissions" to "If no, please proceed to question 7.5".

**Proposed amended question 7.2**

**Question 7.2:** Has the party determined and notified the secretariat that artisanal and small-scale gold mining and processing within its territory is more than insignificant?

- Yes
- No

If **no**, please proceed to ~~article 8 on emissions~~ question 7.5.

25. Regarding question 10.1, to enable a better understanding of a "no" response to question 10.1, the Committee recommended amending the reporting format by adding "(please explain)" to the "no" response option in question 10.1, as follows:

**Proposed amended question 10.1**

**Question 10.1:** Has the party taken measures to ensure that the interim storage of non-waste mercury and mercury compounds intended for a use allowed to a party under the Convention is undertaken in an environmentally sound manner? (para. 2)

- Yes
- No (*please explain*)
- Do not know (*please explain*)

If **yes**, please indicate the measures taken to ensure that such interim storage is undertaken in an environmentally sound manner and the effectiveness of those measures.

26. Regarding question 11.1, to enable a better understanding of a “no” response to question 11.1, the Committee recommended amending the reporting format by adding the follow-up instruction “If **no**, please explain”, as follows:

#### Proposed amended question 11.1

**Question 11.1:** Have measures outlined in article 11, paragraph 3, been implemented for the party’s mercury waste? (para. 3)

- Yes  
 No

If **yes**, please describe the measures implemented pursuant to paragraph 3, and please also describe the effectiveness of those measures.

If **no**, please explain.

27. Regarding question 11.2, noting the opportunities for developing and maintaining global regional and national capacity for the management of mercury waste in an environmentally sound manner, the Committee recommended amending question 11.2 of the reporting format by replacing “yes” with two response options as follows:

- Yes, there are facilities in the party’s territory  
 Yes, there are facilities outside the party’s territory accessible to the party

The Committee also recommended the addition of the following text to the final paragraph of the question: “If the party does not have specific data on waste consisting of mercury or mercury compounds, the party may report on the data including other mercury waste, with explanatory note.”

#### Proposed amended question 11.2

**Question 11.2:** \*Are there facilities for final disposal of waste consisting of mercury or mercury compounds in the party’s territory?

- Yes, there are facilities in the party’s territory  
 Yes, there are facilities outside the party’s territory accessible to the party (in accordance with paragraph 5 of article 11)  
 No  
 Do not know (*please explain*)

If there are facilities in the party’s territory and if the information is available, how much waste consisting of mercury or mercury compounds has been subject to final disposal under the reporting period? Please specify the method of the final disposal operation/operations. If the party does not have specific data on waste consisting of mercury or mercury compounds, the party may report on the data including other mercury waste, with an explanatory note.

28. Regarding question 16.2, to improve the clarity of the question, the Committee recommended deleting “other” before “measures” and adding the phrase “beyond the provision of information to the public on exposure to mercury (referred to in question 16.1)” after “article 16”.

#### Proposed amended question 16.2

**Question 16.2:** Have any ~~other~~ measures been taken to protect human health in accordance with article 16 beyond the provision of information to the public on exposure to mercury (referred to in question 16.1)? (para. 1)

- Yes  
 No

Supplemental: If **yes**, describe the measures that have been taken.

29. Regarding question 17.1, to facilitate understanding and help avoid confusion in answering the question, the Committee recommended amending the question by replacing “Please provide more information, if any” with the following response options for the party, if it responds yes:

If yes, please check applicable box and provide pertinent information in the space provided:

- Scientific, technical, economic and legal information concerning mercury and mercury compounds, including toxicological, ecotoxicological and safety information.

*Please add information here:* \_\_\_\_\_

- Information on the reduction or elimination of the production, use, trade, emissions and releases of mercury and mercury compounds.

*Please add information here:* \_\_\_\_\_

- Information on technically and economically viable alternatives to:
  - Mercury-added products;
  - Manufacturing processes in which mercury or mercury compounds are used; and
  - Activities and processes that emit or release mercury or mercury compounds;

including information on the health and environmental risks, accessibility and availability of those alternatives to parties and economic and social costs and benefits of such alternatives.

*Please add information here:* \_\_\_\_\_

- Epidemiological information concerning health impacts associated with exposure to mercury and mercury compounds, in close cooperation with the World Health Organization and other relevant organizations, as appropriate.

*Please add information here:* \_\_\_\_\_

- Other information.

*Please add information here:* \_\_\_\_\_

*(Art. 17.1 (a) to (d))"*



**Proposed amended question 17.1**

**Question 17.1:** Has the party facilitated the exchange of information referred to in article 17, paragraph 1? (para. 1)

- Yes  
 No)

~~Please provide more information, if any:~~

If yes, please check the applicable box(es) and provide pertinent information in the space provided:

- Scientific, technical, economic and legal information concerning mercury and mercury compounds, including toxicological, ecotoxicological and safety information

*Please add information here:* \_\_\_\_\_

- Information on the reduction or elimination of the production, use, trade, emissions and releases of mercury and mercury compounds

*Please add information here:* \_\_\_\_\_

- Information on technically and economically viable alternatives to:

- Mercury-added products  
 Manufacturing processes in which mercury or mercury compounds are used  
 Activities and processes that emit or release mercury or mercury compounds

including information on risks to human health and the environment, accessibility and availability of those alternatives to parties and economic and social costs and benefits of such alternatives

*Please add information here:* \_\_\_\_\_

- Epidemiological information concerning health impacts associated with exposure to mercury and mercury compounds, in close cooperation with the World Health Organization and other relevant organizations, as appropriate

*Please add information here:* \_\_\_\_\_

- Other information

*Please add information here:* \_\_\_\_\_

(Art. 17.1 (a)–(d))

30. Regarding question 18.1, to facilitate understanding and help avoid confusion in answering the question, the Committee recommended amending the question by replacing “If yes, please indicate the measures that have been taken and the effectiveness of those measures?” with the following response options for the party, if it responds yes:

If **yes**, please check applicable box, provide the pertinent information related to the measure, and a description of the effectiveness of the measure, in the space provided:

- Provision to the public of available information on:
- The health and environmental effects of mercury and mercury compounds
  - Alternatives to mercury and mercury compounds
  - The topics identified in paragraph 1 of article 17
  - The results of its research, development and monitoring activities under article 19
  - Activities to meet its obligations under this Convention

*Please add information here:* \_\_\_\_\_

- Education, training and public awareness related to the effects of exposure to mercury and mercury compounds on human health and the environment in collaboration with relevant intergovernmental and non-governmental organizations and vulnerable populations, as appropriate.

Please add information here: \_\_\_\_\_

- Other measures.

Please add information here: \_\_\_\_\_

(Art. 18 (1) (a) and (b))”

**Proposed amended question 18.1**

**Question 18.1:** Have measures been taken to promote and facilitate the provision to the public of the kinds of information listed in article 18, paragraph 1? (para. 1)

- Yes
- No

~~If yes, please indicate the measures that have been taken and the effectiveness of those measures?~~

If yes, please check the applicable box or boxes, provide pertinent information related to the measure and a description of the effectiveness of the measure in the space provided:

- Provision to the public of available information on:
  - The effects of mercury and mercury compounds on human health and the environment
  - Alternatives to mercury and mercury compounds
  - The topics identified in paragraph 1 of article 17
  - The results of its research, development and monitoring activities under article 19
  - Activities to meet its obligations under the Convention

Please add relevant information here: \_\_\_\_\_

- Education, training and public awareness related to the effects of exposure to mercury and mercury compounds on human health and the environment in collaboration with relevant intergovernmental and non-governmental organizations and vulnerable populations, as appropriate

Please add information here: \_\_\_\_\_

- Other measures

Please add information here: \_\_\_\_\_

(Art. 18 (1) (a) and (b))

31. Regarding question 19.1, to facilitate and help avoid confusion in answering question 19.1, the Committee recommended amending question 19.1 of the reporting format by replacing “If yes, please describe these actions” with the following response options for the party, if the party responds yes:

If yes, please check applicable box, provide pertinent information related to the action in the space provided:

- Inventories of use, consumption, and anthropogenic emissions to air and releases to water and land of mercury and mercury compounds;

Please add information here: \_\_\_\_\_:

- Modelling and geographically representative monitoring of levels of mercury and mercury compounds in vulnerable populations and in environmental media, including biotic media such as fish, marine mammals, sea turtles and birds, as well as collaboration in the collection and exchange of relevant and appropriate samples;

*Please add information here:* \_\_\_\_\_:

- Assessments of the impact of mercury and mercury compounds on human health and the environment, in addition to social, economic and cultural impacts, particularly in respect of vulnerable populations;

*Please add information here:* \_\_\_\_\_:

- Harmonized methodologies for the activities undertaken under subparagraphs (a), (b) and (c);

*Please add information here:* \_\_\_\_\_:

- Information on the environmental cycle, transport (including long-range transport and deposition), transformation and fate of mercury and mercury compounds in a range of ecosystems, taking appropriate account of the distinction between anthropogenic and natural emissions and releases of mercury and of remobilization of mercury from historic deposition;

*Please add information*

- Information on commerce and trade in mercury and mercury compounds and mercury-added products;

*Please add information here:* \_\_\_\_\_:

- Information and research on the technical and economic availability of mercury-free products and processes and on best available techniques and best environmental practices to reduce and monitor emissions and releases of mercury and mercury compounds.

*Please add information here:* \_\_\_\_\_:

- Other actions:

*Please add information here:* \_\_\_\_\_:

*(Art. 19 (1) (a) to (g))*

**Proposed amended question 19.1**

**Question 19.1:** Has the party undertaken any research, development and monitoring in accordance with paragraph 1 of article 19? (para. 1)

Yes

No

~~If yes, please describe these actions:~~

If yes, please check the applicable box(es), provide pertinent information related to the action in the space provided:

- Inventories of use, consumption, anthropogenic emissions to air and releases to water and land of mercury and mercury compounds

*Please add information here:* \_\_\_\_\_

- Modelling and geographically representative monitoring of levels of mercury and mercury compounds in vulnerable populations and in environmental media, including biotic media such as fish, marine mammals, sea turtles and birds, as well as collaboration in the collection and exchange of relevant and appropriate samples

*Please add information here:* \_\_\_\_\_

- Assessments of the impact of mercury and mercury compounds on human health and the environment, in addition to social, economic and cultural impacts, particularly in respect of vulnerable populations

*Please add information here:* \_\_\_\_\_

- Harmonized methodologies for the activities undertaken under subparagraphs (a), (b) and (c) of paragraph 1 of article 19

*Please add information here:* \_\_\_\_\_

- Information on the environmental cycle, transport (including long-range transport and deposition), transformation and fate of mercury and mercury compounds in a range of ecosystems, taking appropriate account of the distinction between anthropogenic and natural emissions and releases of mercury and of remobilization of mercury from historic deposition

*Please add information here:* \_\_\_\_\_

- Information on commerce and trade in mercury and mercury compounds and mercury-added products

*Please add information here:* \_\_\_\_\_

- Information and research on the technical and economic availability of mercury-free products and processes and on best available techniques and best environmental practices to reduce and monitor emissions and releases of mercury and mercury compounds

*Please add information here:* \_\_\_\_\_

- Other actions:

*Please add information here:* \_\_\_\_\_

(Art. 19 (1) (a)–(g))

#### **IV. Additional proposals by the secretariat to amend the reporting format**

32. In paragraph 6 (b) of decision MC-4/8, the Conference of the Parties requested the secretariat to seek any additional comments from parties and other stakeholders on the draft reporting guidance set out in document UNEP/MC/COP.4/17 that take into account their experience of completing the first reports. It is worth noting that the comments also included parties' experience of completing the first full reports, including suggestions for improvements to the questions in the reporting format. Some of

these suggestions are already covered by the revisions pursuant to decision MC-4/3 proposed in section II and recommendations from the Implementation and Compliance Committee for amendments to the reporting format. All comments received, including those related to the proposed amendment to the reporting format, are available on the Convention website.<sup>2</sup>

33. Regarding question 3.3, the secretariat proposes that the question be split into two parts, with one question that refers to the existence of stocks of mercury or mercury compounds exceeding 50 metric tons, and another that refers to the sources of mercury supply generating stocks exceeding 10 metric tons. The basis for the proposal is that “stocks ... exceeding 50 metric tons” refers to mercury or mercury compounds, while “... sources of mercury supply generating stocks exceeding 10 metric tons ...” refers solely to mercury; the separation proposed would improve the structure of the question and recognize differentiated data collection.

**Proposed further amended question 3.3 (based on the format recommended by the Implementation and Compliance Committee)**

**Question 3.3:** Has the party endeavoured to identify individual stocks of mercury or mercury compounds exceeding 50 metric tons that are located within its territory? (para. 5)

- Yes – with new data\* (also to be selected by parties reporting for the first time)
- Yes – same stocks as reported in the previous report
- No

a) \*If the party answered **yes** – **with new data** to the question above:

- i. Please attach the results of your endeavour or indicate where it is available on the internet.
- ii. Supplemental: Please provide any related information – for example, on the use or disposal of mercury from such stocks.

b) If the party answered **no** above, please explain.

Has the party endeavoured to identify individual sources of mercury supply generating stocks exceeding 10 metric tons per year that are located within its territory? (para. 5)

- Yes – with new data\* (also to be selected by parties reporting for the first time)
- Yes – same stocks as reported in the previous report
- No

a) \*If the party answered **yes** – **with new data** to the question above:

- i. Please attach the results of your endeavour or indicate where it is available on the internet.
- ii. Supplemental: Please provide any related information – for example, on the use or disposal of mercury from such sources.

b) If the party answered **no** above, please explain.

34. Regarding question 3.4, it is proposed to align the question with the Convention text to reflect whether a party has made the determination that it has excess mercury from the decommissioning of chlor-alkali facilities. The proposed amendment to question 3.4, as follows:

**Question 3.4:** ~~Does~~ Has the Party determined that it has ~~have~~ excess mercury available from the decommissioning of chlor-alkali facilities? (para. 5 (b))

- Yes
- No

If yes, please explain the measures taken to ensure that the excess mercury was disposed of in accordance with the guidelines for environmentally sound management referred to in paragraph 3

<sup>2</sup> Available at <https://minamataconvention.org/en/intersessional-work-and-submissions-cop-5#sec1644>.

(a) of article 11 using operations that did not lead to recovery, recycling, reclamation, direct re-use or alternative uses. (para. 5 (b), para. 11)

35. Regarding question 4.1, the secretariat proposes that the question be amended to provide for the situation where a party has taken appropriate measures to not allow the manufacture, import or export of mercury-added products listed in annex A and is also registered for an exemption pursuant to article 6.

#### Proposed amended question 4.1

**Question 4.1:** Has the party taken any appropriate measures to not allow the manufacture, import or export of mercury-added products listed in part I of annex A to the Convention after the phase-out date specified for those products? (para.1)

*If the party is implementing paragraph 2, please skip to question 4.2.*

Yes

No

If **yes**, please provide information on the measures.

If **either yes or no**, has the party registered for an exemption pursuant to article 6?

Yes

No

If **yes**, for which products (please list)? (para. 1, para. 2 (d))

36. Regarding question 4.5, to clarify the question format by providing parties with response options that recognize two scenarios that a “no” response may cover, the following amendments are proposed:

#### Proposal for amended question 4.5

**Question 4.5:** Has the party discouraged the manufacture and the distribution in commerce of mercury-added products not covered by any known use in accordance with article 4, paragraph 6? (para. 6)

Yes

No – no action taken

No – an assessment of the risks and benefits of the product demonstrates benefits to human health or the environment

If yes, please provide information on the measures taken.

If the party answered no – no action taken, please explain.

If the party answered no – an assessment of the risks and benefits of the product demonstrates benefits to human health or the environment, has the party provided to the secretariat, as appropriate, information on any such products?

Yes

No

If no, please name the product and add relevant information here \_\_\_\_\_.

37. Regarding question 5.5, to align the question with the Convention text and clarify the question format by providing parties with response options that recognize two possible scenarios that a “no” response may cover, the following amendments are proposed:

**Proposal for amended question 5.5**

**Question 5.5:** Has the party discouraged the development of any facility using any other manufacturing process in which mercury or mercury compounds are intentionally used that did not exist prior to the date of entry into force of the Convention? (para. 7)

- Yes
- No – no action taken
- No – the party demonstrated to the Conference of the Parties the significant environmental and health benefits of the manufacturing process and that there are no technically and economically feasible mercury-free alternatives available providing such benefits

If yes, please provide information on the measures taken. ~~or that the party has demonstrated the environmental and health benefits to the Conference of the Parties and that there are no technically and economically feasible mercury free alternatives available providing such benefits.~~

38. Regarding question 11.1, it is proposed to clarify the question to focus on the measures that the party shall take according to paragraph 3 of article 11. Further, the online reporting tool could have explanation boxes after the response options to allow parties to add relevant information about the applicable measure the party is reporting on.

**Proposal for amended question 11.1**

**Question 11.1:** Have measures outlined in article 11, paragraph 3, been implemented for the party's mercury waste? (para. 3)

- Yes – the party has taken measures so that mercury waste is managed in an environmentally sound manner
- Yes – the party has taken measures so that mercury waste is recovered, recycled, reclaimed or directly re-used for a use allowed to a party under the Convention or for environmentally sound disposal pursuant to paragraph 3 (a)
- Yes – the party has taken measures so that mercury waste is not transported across international boundaries except for the purpose of environmentally sound disposal
- No

If the party answered yes to any measures above, please describe the measures implemented pursuant to paragraph 3, and please also describe the effectiveness of those measures.

If no, please explain.