BRIEFINGS ON COP-5 DOCUMENTS

30 AND 31 AUGUST, 2023
13H00 - 14H30 CEST

REGISTER NOW
DAY 1: bit.ly/m030aug23
DAY 2: bit.ly/m031aug23

MINAMATA CONVENTION ON MERCURY
MINAMATA ONLINE SEASON 3 / 2023
<table>
<thead>
<tr>
<th>Day 1: 30 August</th>
<th>13h00-14h30 CEST</th>
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<tbody>
<tr>
<td>Provisional Agenda, Annotated Provisional Agenda, and Scenario Note (5/1, Add.1-2, INF/38)</td>
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<tr>
<td>National reporting; Implementation and Compliance Committee (5/14, 5/15, Add.1-2, INF/19-21)</td>
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<tr>
<td>Mercury supply sources and trade (5/3)</td>
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<tr>
<td>Artisanal and small-scale gold mining (5/6, INF/6-9)</td>
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<tr>
<td>Mercury and the Kunming-Montreal Global Biodiversity Framework (5/20, INF/27)</td>
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<tr>
<td>Capacity-building and technical assistance (5/13)</td>
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<tr>
<td>Knowledge management (5/19, INF/26)</td>
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<td>Gender (5/18, INF/10)</td>
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<tr>
<td>Rules of Procedure (5/2)</td>
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<tr>
<td>Dates and venue of COP-6 (5/24)</td>
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<table>
<thead>
<tr>
<th>Day 2: 31 August</th>
<th>13h00-14h30 CEST</th>
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<tbody>
<tr>
<td>Provisional Agenda, Annotated Provisional Agenda, and Scenario Note (5/1, Add.1, INF/38)</td>
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<tr>
<td>Amendment to Annex A and B and other Annex B matters (5/4, 5/5, Add.1-3, INF/3-5)</td>
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<tr>
<td>Financial Resources and Mechanism (GEF 5/10, Add.1, SIP 5/11, Add.1, Review 5/12; INF/14-18)</td>
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<tr>
<td>Effectiveness Evaluation (5/16, Add.1-2, INF/22-25, INF/37)</td>
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<tr>
<td>Emissions of mercury (5/7)</td>
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<td>Releases of mercury (5/8, INF/11)</td>
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<td>Mercury Waste thresholds (5/9, INF/12-13)</td>
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<td>Financial rules (5/17)</td>
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<td>POW and Budget (5/23, INF/34-36)</td>
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<tr>
<td>International cooperation and coordination (5/21, 5/22, INF/28-33)</td>
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</tbody>
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Logistical Information and Q&A
Preparations until COP-5

**July/August**
- 3 July: Registration invitations sent
- COP-5 budget documents on the website
- 30-31 Aug: COP-5 Documents Briefing Sessions

**September**
- 4 Sept: Online Budget Forum opens (until 13 Oct.)
- 5-6 Sept: Asia-Pacific regional meeting (Bangkok)
- 12-13 Sept: Africa regional meeting (Nairobi)
- 18-19 Sept: EES regional meeting (Yerevan)
- 8 & 14 Sept: Information sessions on national reporting, financial mechanism, ASGM, EE

**October**
- 5 Oct: COP-5 Briefing (GEN) - hybrid
- 5-6 Oct: GRULAC regional meeting (Brasilia)
- 9-13 Oct: Pre-COP5 Side Events Week Online Format
- 11 Oct: Information Session on budget (parties only)
- 29 Oct: COP-5 Bureau meeting and Regional preparatory meetings, in Geneva

**COP-5**
30 Oct. – 3 Nov. Geneva, CICG
## COP-5 Tentative Schedule of Work

### Monday, 30 October 2023

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
<th>Item 1: Opening of the meeting</th>
<th>Item 2: Organizational matters</th>
</tr>
</thead>
<tbody>
<tr>
<td>10:00-13:00</td>
<td>Morning Session</td>
<td>Item 4: Matters for consideration or action by the Conference of the Parties</td>
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<tr>
<td></td>
<td></td>
<td>(a) Adoption of the agenda</td>
<td>(b) Organization of work</td>
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<td>(c) Election of officers for the intersessional period and the sixth meeting of the Conference of the Parties</td>
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<td></td>
<td>(d) Report on the credentials of representatives to the fifth meeting</td>
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</tr>
<tr>
<td>13:00-15:00</td>
<td>Afternoon Session</td>
<td>Item 4: Matters for consideration or action by the Conference of the Parties</td>
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<tr>
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<td></td>
<td>(f) Financial Rules</td>
<td>(g) Financial resources and mechanism</td>
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<td>(i) Global Environment Facility</td>
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<td>(ii) Specific International Programme to Support Capacity-Building and Technical Assistance</td>
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<td>(iii) Review of the financial mechanism</td>
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<td>(h) Capacity-building, technical assistance and technology transfer</td>
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<td></td>
<td>(c) Artisanal and small-scale gold mining</td>
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### Tuesday, 31 October 2023

<table>
<thead>
<tr>
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<tr>
<td>10:00-13:00</td>
<td>Morning Session</td>
<td>(j) National reporting</td>
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<tr>
<td></td>
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<td>(l) Implementation and Compliance Committee</td>
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<tr>
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<td></td>
<td>(a) Mercury supply sources and trade</td>
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<td>(c) Mercury and Kunming-Montreal Global Biodiversity Framework</td>
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<tr>
<td>13:00-15:00</td>
<td>Afternoon Session</td>
<td>(d) Mercury waste: consideration of the relevant thresholds</td>
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<td>(k) Effectiveness evaluation</td>
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</tbody>
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### Wednesday, 1 November 2023

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>10:00-13:00</td>
<td>Morning Session</td>
<td>(l) Financial Rules</td>
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<td>(m) Gender</td>
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<td></td>
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<td>(n) Knowledge management</td>
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<td>(o) Mercury and Kunming-Montreal Global Biodiversity Framework</td>
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<tr>
<td>13:00-15:00</td>
<td>Afternoon Session</td>
<td>(p) Capacity-building, technical assistance and technology transfer</td>
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<td>(q) Artisanal and small-scale gold mining</td>
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</table>

### Thursday, 2 November 2023

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
<th>Item 4: Matters for consideration or action by the Conference of the Parties</th>
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</thead>
<tbody>
<tr>
<td>10:00-13:00</td>
<td>Morning Session</td>
<td>(r) Mercury waste: consideration of the relevant thresholds</td>
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<td>(s) Effectiveness evaluation</td>
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<tr>
<td>13:00-15:00</td>
<td>Afternoon Session</td>
<td>(t) Capacity-building, technical assistance and technology transfer</td>
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<td>(u) Artisanal and small-scale gold mining</td>
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</table>

### Friday, 3 November 2023

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
<th>Item 4: Matters for consideration or action by the Conference of the Parties</th>
</tr>
</thead>
<tbody>
<tr>
<td>10:00-13:00</td>
<td>Morning Session</td>
<td>(v) Mercury waste: consideration of the relevant thresholds</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(w) Effectiveness evaluation</td>
</tr>
<tr>
<td>13:00-15:00</td>
<td>Afternoon Session</td>
<td>(x) Capacity-building, technical assistance and technology transfer</td>
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<tr>
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<td></td>
<td>(y) Artisanal and small-scale gold mining</td>
</tr>
</tbody>
</table>

### Notes

- **Consideration of outcomes, reports of contact group(s),**
- **Adoption of report on credentials and adoption of remaining decisions**
- **Adopt the budget decision**
- **Venue and dates of the sixth meeting of the Conference of the Parties**
- **Other matters**
# COP-5 Overview and Schedule of Work

<table>
<thead>
<tr>
<th>Time</th>
<th>Sunday, 29 October</th>
<th>Monday, 30 October</th>
<th>Tuesday, 31 October</th>
<th>Wednesday, 1 November</th>
<th>Thursday, 2 November</th>
<th>Friday, 3 November</th>
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<tbody>
<tr>
<td>8:00-8:45</td>
<td></td>
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<td>Bureau meeting</td>
<td>Bureau meeting</td>
<td>Bureau meeting</td>
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<td>9:00-9:45</td>
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<td>Regional meetings</td>
<td>Regional meetings</td>
<td>Regional meetings</td>
<td>Regional meetings</td>
</tr>
<tr>
<td><strong>10:00 - 13:00</strong> Morning Session</td>
<td>Bureau Meeting</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
</tr>
<tr>
<td><strong>13:00-15:00</strong></td>
<td>COP-5 Secretariat Briefing (12.30 – 13.30)</td>
<td>Lunch</td>
<td>Lunch</td>
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<td>Lunch</td>
<td>Lunch</td>
</tr>
<tr>
<td><strong>15:00-18:00</strong> Afternoon Session</td>
<td>Regional Meetings</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
<td>COP-5 Plenary</td>
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<tr>
<td>18:00 to 19:00</td>
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<td>Break</td>
<td>Break</td>
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<tr>
<td><strong>19:00-22:00</strong> Evening Session</td>
<td></td>
<td>Break</td>
<td>Break</td>
<td>Break</td>
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</tbody>
</table>

*Registration: Onsite Registration opens at 10:00 on Sunday, 29 October  
**Working Hours: To support good working conditions, all evening sessions are not to extend beyond 22:00.*
Starting in early September 2023, COP-5 documents will be available on the website. Budget-related documents since 31 July 2023

5/1: Provisional agenda

5/1/Add.1: Provides an overview of agenda items to be considered during the meeting, with a reference guide to the documents that have been prepared.

Annex II of the annotated agenda: Tentative schedule of work for COP-5.

INF/1: List of documents

INF/1: Serves as reference guide to the documents that have been prepared per agenda item.

INF/2: Information provided by parties

INF/2: Gives an overview of information provided by the parties to the Minamata Convention, including information on status of ratifications, Parties' notifications, national reports and other pertinent information.

INF/38: Scenario note

INF/38: Sets out proposed arrangements for the conduct of COP-5.
Agenda, Annotated Agenda, List of documents

**Provisional agenda**

1. Opening of the meeting.
2. Organizational matters:
   (a) Adoption of the agenda;
   (b) Organization of work;
   (c) Election of officers for the intersessional period and the sixth meeting of the Conference of the Parties;
   (d) Report on the credentials of representatives to the fifth meeting of the Conference of the Parties.
4. Matters for consideration or action by the Conference of the Parties:
   (a) Mercury supply sources and trade;
   (b) Mercury-added products and manufacturing processes in which mercury or mercury compounds are used: amendment to Annexes A and B, and consideration of the feasibility of mercury-free alternatives for manufacturing processes listed in Annex B;
   (c) Artisanal and small-scale gold mining;
   (d) Emissions of mercury;
   (e) Releases of mercury;
   (f) Mercury waste: consideration of the relevant thresholds;
   (g) Financial resources and mechanism:
      (i) Global Environment Facility;
      (ii) Specific International Programme to Support Capacity-Building and Technical Assistance;
      (iii) Review of the financial mechanism;
   (h) Capacity-building, technical assistance and technology transfer;
   (i) Implementation and Compliance Committee;
   (j) National reporting;
   (k) Effectiveness evaluation;
   (l) Financial rules;
   (m) Gender;
   (n) Knowledge management;
   (o) Mercury and the Kunming-Montreal Global Biodiversity Framework.
5. International cooperation and coordination.
6. Programme of work and budget.
7. Venue and dates of the sixth meeting of the Conference of the Parties.
8. Other matters.
9. Adoption of the report of the meeting.
10. Closure of the meeting.

Available in languages on the Convention’s website.
Organizational matters: Election of officers

5/1/Add.2: Election of officers

COP-6 Bureau
- In accordance with rule 22 of the RoP, COP-5 is to elect the COP-6 Bureau. No elected officer may serve on the Bureau for more than two consecutive terms.
- To that end, two nominations from each United Nations regional group should be submitted to the secretariat no later than the second day of COP-5.
- In accordance with both paragraph 3 of rule 22 of the RoP and past practice, for the purposes of regional rotation the president of the COP-6 Bureau is expected to be elected from among the Latin American and Caribbean States.

ICC
- In accordance with rule 3 of the RoP for the Committee, COP-5 is to elect for two terms six new members of the ICC to replace those members whose period of office is about to expire.
- Pursuant to paragraph of 5 of rule 3, a member is not to serve for more than two consecutive terms.
- Nominations from each United Nations regional group should be submitted to the Secretariat no later than the second day of COP-5.

SIP Governing Board
- COP-5 is to confirm, based on the nominations received from the regional groups, 10 members of the Governing Board for the following term, to serve from the closure of COP-5 until the closure of COP-6.
- No member is to serve on the Governing Board for more than two consecutive terms.
Organizational matters: Credentials

Credentials for the head of delegation, alternate representatives and advisers to be issued either by the **Head of State or Government or by the Minister for Foreign Affairs**. In the case of a regional economic integration organization, credentials should be issued by the competent authority of that organization. Information regarding any change in the composition of the delegation should be submitted using the same procedure.

**Samples** of credentials are available in Arabic, Chinese, English, French, Russian and Spanish on the Minamata Convention website’s [COP-5 webpage](#).

**Original** credentials must be submitted to the Secretariat no later than 24 hours after the opening of the meeting (therefore by 10 am on Tuesday, 31 October 2023). Parties are invited to submit their original credentials in advance of the meeting, **preferably by 1 October 2023**, in order to facilitate the clearance process, to:

*The Executive Secretary*

*Secretariat of the Minamata Convention on Mercury*

*United Nations Environment Programme*

*Avenue de la Paix 8-14, 1211*

*Geneva 10, Switzerland*

If not submitted in advance of the meeting, Parties can hand over original credentials of their representatives at the **registration desk** upon arrival at the meeting venue on the first day of the meeting.

Advance electronic copies of credentials can be sent to [MEA-MinamataMeetings@un.org](mailto:MEA-MinamataMeetings@un.org).

Credentials report to be adopted by plenary on Thursday morning.
Item 4(b): Mercury-added products and manufacturing processes in which mercury or mercury compounds are used: Amendment to Annexes A and B, and consideration of feasibility of mercury-free alternatives for manufacturing processes listed in Annex B
Item 4(b): Products and processes

- **5/4**: Matters for the consideration of the COP pursuant to its decision MC-4/3

- **5/5, 5/5/Add.1-3**: Proposals for amendments to Annex A

- **INF/3**: Implementation of the 2020 deadline to phase out mercury-added products

- **INF/4**: Information on the entry into force of the amended Annex A

- **INF/5**: Submissions by Parties and stakeholders and further information collected by the Secretariat

**Article 4: Mercury-added products**

Para 1: Each Party shall not allow the manufacture, import or export of mercury-added products listed in Part I of Annex A after the phase-out date.

Para 3: Each Party shall take measures for the mercury-added products listed in Part II of Annex A in accordance with the provisions therein.

Para 7: Any Party may submit a proposal to the Secretariat for listing a mercury-added product in Annex A

**Article 5: Manufacturing processes in which mercury or mercury compounds are used**

Para 2: Each Party shall not allow the use of mercury or mercury compounds in the manufacturing processes listed in Part I of Annex B after the phase-out date.

Para 3: Each Party shall take measures to restrict the use of mercury or mercury compounds in the processes listed in Part II of Annex B in accordance with the provisions therein.

Para 9: Any Party may submit a proposal to amend Annex B.

**Article 27** provides for the procedure to amend the annexes.
Item 4(b): Products and processes

- **5/4**: Matters for the consideration of the COP pursuant to its decision MC-4/3
- **5/5, 5/5/Add.1-3**: Proposals for amendments to annex A
- **INF/3**: Implementation of the 2020 deadline to phase out mercury-added products
- **INF/4**: Information on the entry into force of the amended Annex A
- **INF/5**: Submissions by Parties and stakeholders and further information collected by the Secretariat

### COP Decision MC-4/3
- Decided to amend part I of annex A by adding eight mercury-added products, and to amend part II of annex A by adding provisions to the measures to be taken on dental amalgam.
- Decided to consider at COP-5 the phase-out dates of the four mercury-added products.
- Decided to consider at COP-5 adding the production of polyurethane using mercury-containing catalysts to part I of annex B

<table>
<thead>
<tr>
<th>Mercury-added products</th>
<th>Phase-out date</th>
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<tbody>
<tr>
<td>Button zinc silver oxide batteries with a mercury content &lt; 2% and button zinc air batteries with a mercury content &lt; 2%</td>
<td>[2025] [2029]</td>
</tr>
<tr>
<td>Very high accuracy capacitance and loss measurement bridges and high frequency radio frequency switches and relays in monitoring and control instruments with a maximum mercury content of 20 mg per bridge switch or relay [except those used for research and development purposes]</td>
<td>[2025]</td>
</tr>
<tr>
<td>Linear fluorescent lamps (LFLs) for general lighting purposes: (a) Halophosphate phosphor ≤ 40 watts with a mercury content not exceeding 10 mg per lamp (b) Halophosphate phosphor &gt; 40 watts</td>
<td>[2025] [2027] [2030]</td>
</tr>
<tr>
<td>Linear fluorescent lamps (LFLs) for general lighting purposes: (a) Triband phosphor &lt; 60 watts with a mercury content not exceeding 5 mg/lamp</td>
<td>[2027] [2030]</td>
</tr>
</tbody>
</table>
Item 4(b): Products and processes

- **5/4**: Matters for the consideration of the COP pursuant to its decision MC-4/3
  
  Document 5/4 consists of an introduction, three substantive part and a suggested action by COP.
  
  **I. Phase-out dates of mercury-added products**
  
  - Summarizes the information submitted by parties and stakeholders on mercury-added products for which COP-5 is to consider the phase-out date.
  
  **II. Polyurethane production**
  
  - Summarizes the information on polyurethane production using mercury containing catalysts.
  
  **III. Short report on the technical and economic feasibility of mercury free alternatives for the production of vinyl chloride monomer and sodium or potassium methylate or ethylate**
  
  - Document 5/4 sets out the captioned report as requested by MC-4/3.

Submissions and other collected information are available in **INF/5**

Submitted information was presented at **Minamata Online** on 22/03/2023.
Item 4(b): Products and processes

- **5/4**: Matters for the consideration of the COP pursuant to its decision MC-4/3

- **5/5, 5/5/Add.1-3**: Proposals for amendments to annex A

- **INF/3**: Implementation of the 2020 deadline to phase out mercury-added products

- **INF/4**: Information on the entry into force of the amended Annex A

- **INF/5**: Submissions by Parties and stakeholders and further information collected by the Secretariat

**INF/3** presents information on:
- Implementation status of 2020 phase-out deadlines
- Global workshop “Implementing the Minamata Convention obligations on mercury-added products”
- Other activities to support the implementation of 2020 phase-out deadline

**INF/4** presents information on the entry into force of Annex A amended at COP-4.2
- The document will be issued after 28 September 2023, the expiry of one year from the date of the communication by the Depositary of the adoption of the amendment.
The COP may wish to consider a decision, which may include the following:

- An agreement on the phase-out dates for the four mercury-added products listed in paragraph 5 of decision MC-4/3;
- A decision element based on its further consideration to add, with a phase-out date, the production of polyurethane using mercury-containing catalysts to part I of annex B to the Convention;
- A decision element based on its consideration of whether mercury-free alternatives for two processes listed in part II of annex B to the Convention (vinyl chloride monomer and sodium or potassium methylate or ethylate) have become technically and economically feasible.

Annex B Part II provides that parties are not to allow the use of those processes five years after the COP has established that mercury-free alternatives have become economically and technically feasible.
Item 4(b): Products and processes

- **5/4**: Matters for the consideration of the COP pursuant to its decision MC-4/3
- **5/5, 5/5/Add.1-3**: Proposals for amendments to annex A
- **INF/3**: Implementation of the 2020 deadline to phase out mercury-added products
- **INF/4**: Information on the entry into force of the amended Annex A
- **INF/5**: Submissions by Parties and stakeholders and further information collected by the Secretariat

**5/5: Proposals for amendments to annex A**

- Explains the provisions of Articles 26 and 27 on the amendment of the annexes to the Convention.
- Presents three amendment proposals received from Botswana and Burkina Faso on behalf of the Africa region. The Annex to the document tabulates together with the mercury-added products for which COP decided to consider the phase-out dated at COP-5.
- Explains the process once an amendment has been adopted.
- Presents a suggested action by the COP.

**5/5/Add.1-3** set out the submitted proposals. Proposals were presented at [Minamata Online](https://minamataonline.org) on 14/06/2023.
The COP may wish to consider the proposed amendments

Any questions on the documents under agenda item 4(b)?
Item 4(g): Financial resources and mechanism: GEF, SIP, Review of the Financial Mechanism
Document Overview

- Update on matters related to the Global Environment Facility
  - Executive Summary of Report of GEF Council in Add document
  - Full GEF Council Report provided as INF document

- Overall report on the Specific International Programme to Support Capacity-Building and Technical Assistance
  - Governing Board deliberations and outcomes in Add document
  - Governing Board meeting report(s) provided in INF document
  - SIP Mid-Term Evaluation also provided as INF document

- Decision document on Review of the financial mechanism, including Executive Summary of draft report
  - Includes submissions and relevant supplementary material
  - INF document from Special Programme
Overview of working document 5/10 and related documents:

Provides the Secretariat's update on the Global Environment Facility trust fund in its role as part of the financial mechanism as described in Article 13. In this role, the GEF operates under the guidance of the COP, adopted at COP-1 and set forth in decision MC-1/5, as well as the MOU concluded.

Provides the Executive Summary of the Report of the GEF Council to the COP (5/10/Add.1) describing GEF programming on mercury from 1 July 2021 through 30 June 2022, including responsiveness to guidance, evaluations relevant to the portfolio, and cooperation between the secretariat and the GEF secretariat.
Item 4(e)(i): Global Environment Facility

- **5/10**: Update on matters related to the Global Environment Facility
- **5/10/Add.1**: Executive summary of the report of the Council of the Global Environment Facility to the Conference of the Parties to the Minamata Convention at its fifth meeting
- **INF/14**: Report of the Council of the Global Environment Facility to the Conference of the Parties to the Minamata Convention on Mercury at its fifth meeting

The update and the Executive Summary of the GEF Council report to the COP should be read in conjunction with the full report of the GEF Council to the COP (INF/14) which will be available in English, French and Spanish.

Negotiation of GEF-8 was completed in April 2022, resulting in an allocation of **USD 269 million** for the implementation of the Minamata Convention during the GEF-8 period, from 1 July 2022 to 30 June 2026.

During the reporting period, GEF committed USD 29.8 million for programming to support the implementation of the Minamata Convention. The total Convention allocation for GEF-7 was **USD 206 million**:

- enabling activities (**MIAs and ASGM NAPs**)
- implementation projects (full-sized, medium-sized, individual and multi-country)
- programmes, including planetGOLD and ISLANDS
- See the report, and our new dashboard of GEF projects, for a full list of these projects
5/11: Overall report on the Specific International Programme to Support Capacity-Building and Technical Assistance

The present note on the SIP sets out the Secretariat’s overall report on all activities and outcomes under the programme following COP-4.

- **Section II**: describes the support provided by the Secretariat to the SIP;
- **Section III**: outlines the work of the Governing Board since the Fourth Meeting of the Conference of the Parties;
- **Section IV**: provides an overview of the status of implementation of the projects approved in the First, Second and Third rounds of applications to the SIP;
- **Section V**: contains information on the status of the Specific Trust Fund;
- **Section VI**: outlines the work to conduct the mid-term evaluation of the SIP, and conclusions and recommendations provided in the report.
Item 4(g)(ii): Specific International Programme to Support Capacity-Building and Technical Assistance

- **5/11**: Overall report on the Specific International Programme to Support Capacity-Building and Technical Assistance

- **5/11/Add.1**: Governing Board of the Specific International Programme to Support Capacity-Building and Technical Assistance

- **INF/15**: Governing Board of the Specific International Programme to Support Capacity-Building and Technical Assistance: Meeting reports

- **INF/16**: Mid-Term Evaluation of the Specific International Programme to Support Capacity-Building and Technical Assistance

Document 5/11/Add.1 contains 3 annexes:

- **Annex I** presents reflections of the Governing Board on the mid-term evaluation of the SIP. The Board was pleased to note that the SIP was assessed to be addressing the needs of Parties and that the funded projects demonstrated effectiveness and efficiency;

- **Annex II** contains the Executive Summary of the report on the mid-term evaluation of the SIP;

- **Annex III** outlines reflections of the Co-Chairs of the Governing Board on the Fourth Round of applications to the SIP, including that the contributions to date to the Specific Trust Fund have not yet allowed the Board to launch the round. The Board calls for new and additional contributions to enable the SIP to remain fully operational.

Annex I to INF/15 contains the report of the 7th meeting of the Governing Board (online, 22-23 November 2022) that, among other matters, considered the status of the Specific Trust Fund, the mid-term evaluation of the SIP and the second review of the financial mechanism.
INF/16 sets out the report on the **Mid-Term Evaluation of the Specific International Programme to Support Capacity-Building and Technical Assistance**

The evaluation assessed the strategic relevance, design, implementation, performance and impact of the SIP, and provided **conclusions and recommendations** for the continuous improvement of the operations of the SIP.

The evaluation delivered a **Stakeholder Analysis** and developed a draft **Theory of Change** and a **Results Framework**, including a set of outputs and corresponding indicators to frame the results achieved by the SIP. The programme’s responsiveness to **human rights and gender equality** was also evaluated.

The evaluation concluded that the **operations of the SIP** have been conducted efficiently; that the support provided by the SIP is clearly contributing to **strengthening the capacity of Parties** to meet their Convention obligations; and that **resource mobilization** is needed, particularly in light of the critical financial situation of the SIP.
Item 4(g)(iii): Review of the financial mechanism

- **5/12:** Review of the Financial Mechanism

- **INF/17:** Report of the Second Review of the Financial Mechanism covering the period of August 2019 to July 2022

<table>
<thead>
<tr>
<th>YEAR</th>
<th>COP</th>
<th>GEF cycle</th>
<th>SIP cycle</th>
</tr>
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<tbody>
<tr>
<td>2023</td>
<td>COP-5</td>
<td>GEF-8</td>
<td>Mid-point initial duration</td>
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<tr>
<td>2025</td>
<td>COP-6</td>
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<td>2027</td>
<td>COP-7</td>
<td>GEF-9</td>
<td>Decision on extension of up to 7 years</td>
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<td>2028</td>
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<td>End of initial duration</td>
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<td>2029</td>
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<td>2030</td>
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<td>GEF-9 – GEF-10</td>
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**Document 5/12** describes the work undertaken pursuant to Decision MC-4/7 and its annex, which provided the Terms of Reference for the Second review.


The document provides a **description of work** undertaken for the second review of the financial mechanism, including the submission process and arrangements for the report of the second review. It also suggests steps towards the third review.

**Annex I** sets forth a **draft decision**

**Annex II** provides the **Executive Summary** of the report of the on the second review of the financial mechanism.

The **information called for in the TOR** and received by the Secretariat is set out in **INF/17**, along with the **full report of the second review of the financial mechanism**.
The COP may wish to complete the second review of the financial mechanism based on the report of the second review of the financial mechanism covering the period August 2019 to June 2022, and adopt a decision.

The COP may:

- welcome the 8th replenishment of the GEF Trust Fund and resolve to increase efforts to augment funding in the Specific Trust Fund
- communicate results of the second review, and its report, to the GEF Council and SIP Governing Board
- provide input relative to programming directions and resources allocations for GEF-9, and implementation of GEF-8 vis-a-vis implementation deadlines, priorities, and integration across focal areas including biodiversity
- urge parties to work through their GEF operational focal points to make full use of allocation
- consider periodicity of future reviews including request to Secretariat to prepare draft TOR for the third review of the financial mechanism for consideration by COP-6

Any questions on the documents under agenda item 4(g)?

Deep-dive Information session on financial resources and mechanism:
8 September 13:00 – 13:45 CEST
Item 4(k): Effectiveness Evaluation
Item 4(k): Effectiveness evaluation

- **5/16**: The first effectiveness evaluation of the Minamata Convention on Mercury
- **5/16/Add.1**: Indicators
- **5/16/Add.2**: Progress report of the Open-ended Scientific Group
- **INF/22**: Draft report on trade, supply and demand of mercury
- **INF/23**: Article 21 synthesis report
- **INF/24**: Draft plans developed by the OESG to support the first effectiveness evaluation
- **INF/25**: List of available documents and reports for the first effectiveness evaluation
- **INF/37**: Additional information on the work of the OESG

Overview of working document 5/16 and its addenda:

5/16: The first effectiveness evaluation of the Minamata Convention on Mercury
- Section I: Introduction
- Section II: Overview intersessional work (OESG and indicators)
- Section III: Proposed action

5/16/Add.1: Indicators
- Section I: Introduction
- Section II: Contextualization of the proposed indicators
- Annex: Setting out 36 proposed indicators for the effectiveness evaluation process of the Minamata Convention

5/16/Add.2: Progress report of the Open-ended Scientific Group
- Annex:
  - Summary
  - Background
  - Overview of work done
  - Summaries of two draft plans (plan for monitoring data compilation and summary; plan for a summary of available emissions and releases data) and process for development of a third plan (plan for data analysis)
  - Forward looking considerations
  - Expected results
  - Schedule for further work
Overview of information documents under item 4(k):

**INF/22:** Contains an executive summary of the draft report on trade, supply and demand of mercury. The draft report itself will be available through the Convention's website.

**INF/23:** Contains, in annex I, a plan for developing and completing the article 21 synthesis report and, in annex II, the preliminary article 21 synthesis report. The preliminary report compiles data derived from the short and full reports into a set of graphs and is structured after the control and enabling provisions of the Convention with sub-sections that identify the relevant draft indicators and reporting format questions.

**INF/24:** The draft plans developed by the OESG to support the first effectiveness evaluation include: the “Plan for the monitoring data compilation and summary” (annex I), the “Plan for the summary of available emissions and releases data” (annex II) and “Plan for data analysis” (annex III).

**INF/25:** Contains a non-exhaustive list of available documents to support the first EE in accordance with the categories of information identified in paragraph 3 of article 22.

**INF/37:** Updates on the latest information regarding the work of the OESG, especially with regard to the call for data submission.
Suggested action for the COP

The COP may wish to consider the draft decision in the annex to document 5/16 and adopt a decision on the effectiveness evaluation of the Convention.

The COP may:

- Agree to consider the outcome of the first effectiveness evaluation of the Convention at its [---] meeting;
- Take note of the progress made by the Open-ended Scientific Group
- Establish the Effectiveness Evaluation Group to work in accordance with its terms of reference
- Adopt the indicators
- Request the secretariat to continue supporting the effectiveness evaluation process

Any questions on the documents under agenda item 4(k)?

Deep-dive Information session on effectiveness evaluation:
14 September 14:00 – 14:45 CEST
Item 4(d): Emissions of Mercury
Item 4(d): Emissions of mercury

5/7: Implementation of Article 8: Emissions of mercury

Article 8 (emissions to air) defines relevant source as sources of emissions falling within the five source categories listed in annex D.

A party with relevant sources shall
- take measures to control emissions and may prepare a national plan and submit to COP in 4 years
- require the use of best available techniques and best environmental practices (BAT/BEP) for new sources in 5 years
- take measures on existing sources in 10 years
- establish an inventory of releases from relevant sources, in 5 years

COP-1 adopted guidance on BAT/BEP, inventory etc.

Document 5/7 provides an overview of measures taken by parties, based on the Article 21 national reports.

| Number of parties for which the deadlines for the measures on new and existing sources and the establishment of an inventory fall within the reporting period under article 21 |
|---------------------------------|---------------------------------|
| **New sources and inventory (five years after entry into force)** | **Existing sources (ten years after entry into force)** |
| First full reporting cycle (2017–2020) | None | None |
| Second full reporting cycle (2021–2024) | 114 parties | None |
| Third full reporting cycle (2025–2028) | 27 parties plus new parties in 2023 | 98 parties |
The COP may wish to:

- Encourage parties to advance their efforts to implement article 8, taking note of the implementation deadlines.
- Call upon parties that have chosen to prepare a national plan but have not yet submitted the plan to submit it as soon as possible.
- Encourage parties with experience in using the guidance adopted at COP-1 to provide the secretariat with information on such experience;
- Request the secretariat to provide an update to COP-6 on the submitted national plans and on the experience of the parties in using relevant guidance.

A related draft decision is set out in an annex to Document 5/8.

Any questions on the documents under agenda item 4(d)?
Item 4(e): Releases of Mercury
Item 4(e): Releases of mercury

- **5/8**: Best available techniques and best environmental practices to control releases from relevant sources
- **INF/11**: Technical reference document on best available techniques and best environmental practices to control releases from relevant sources

**Article 9 (releases to land and water)** defines relevant source as significant anthropogenic point source of release as identified by a party that is not addressed in other provisions of this Convention.

Each Party shall:
- Identify the relevant point source categories, in 3 years
- Take measures to control releases
- Establish an inventory of releases from relevant sources, in 5 years

The COP shall adopt **guidance on best available techniques (BAT) and best environmental practices (BEP)** and on the methodology for preparing inventories of releases.

The COP adopted (MC-4/5) guidance on inventories and requested the group of technical experts, established by Decision MC-2/3, to develop draft guidance on BAT/BEP.

**Document 5/8** sets out draft guidance on BAT/BEP.

**INF/11** sets out, for information purposes, technical information on BAT/BEP. (Not part of the guidance to be adopted by COP)

**Draft BAT/BEP guidance – Table of contents**

1. Introduction
2. Considerations in the selection and implementation of BAT/BEP
3. Common techniques for the control of releases
4. Techniques for specific sources of release
5. Monitoring
Suggested action for the COP

- The COP may wish to:
  - Consider and adopt the guidance on BAT/BEP to control releases from relevant sources pursuant to paragraph 7 of article 9.
  - Invite parties with relevant sources to take account of the guidance when taking measures to control releases of mercury and preparing a national plan thereon, if any.
  - Request the secretariat to support parties’ use of the guidance and to keep the guidance under review.

- A related draft decision is set out in an annex to Document 5/8.

Any questions on the documents under agenda item 4(e)?
Item 4(f): Mercury waste: consideration of the relevant thresholds
**Item 4(d): Mercury waste thresholds**

- **5/7**: Establishment of mercury waste thresholds

- **INF/12**: Technical information for the work of the expert group on mercury waste thresholds

- **INF/13**: Guidance document on test methods to be used for the tier-2 thresholds for tailings from mining other than primary mercury mining

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**Article 11:**

**Paragraph 2** defines mercury wastes as:
(a) Consisting of mercury or mercury compounds;
(b) Containing mercury or mercury compounds; or
(c) Contaminated with mercury or mercury compounds,
in a quantity above the relevant **thresholds** defined by COP, that are disposed of, intended or required to be disposed of.

This definition excludes overburden, waste rock and tailings from mining, except from primary mercury mining, unless they contain mercury or mercury compounds above **thresholds** defined by COP.

**Paragraph 3** requires Parties to take appropriate measures so that mercury waste is:
(a) Managed in an environmentally sound manner, taking into account the guidelines developed under the Basel Convention
(b) Only recovered, recycled, reclaimed or directly re-used for a use allowed under this Convention or for environmentally sound disposal
(c) For Parties to the Basel Convention, not transported across international boundaries except for environmentally sound disposal
**Item 4(d): Mercury waste thresholds**

- **5/7: Establishment of mercury waste thresholds**

  *Decision MC-3/5* defined waste consisting of and containing mercury or mercury compounds.

- **INF/12: Technical information for the work of the expert group on mercury waste thresholds**

  *Decision MC-4/6* defined the two tier thresholds for mine tailings (Tier-1 on total mercury content and Tier-2 with leach testing), and mandated the group of technical experts (established by Decision 2/2) to:
  
  - Develop a report to the COP, with the goal of recommending and facilitating a decision on waste contaminated with mercury or mercury compounds.
  - Develop a guidance document on test methods to be used for the tier-2 threshold for mine tailings

  The group of technical experts met in February 2023 (with financial contribution from Japan), reviewed the information submitted by parties, and developed a report to COP-5 (as posted on the Convention website).

  The report was presented at [Minamata Online](https://www.minamataconvention.org) on 05/07/2023.
Item 4(d): Mercury waste thresholds

- **5/7**: Establishment of mercury waste thresholds
  - The expert group recommends to COP:
    - to establish a threshold of [25] [15] [10] mg/kg total concentration of mercury for waste contaminated with mercury or mercury compounds
    - that parties may choose not to use this threshold, as long as they have targeted waste management measures in place to protect the human health and the environment, in which case such parties shall notify the secretariat, and those notifications are made available to the public.
    - that consideration should be made for capacity building, technical assistance and technology transfer.
    - to invite the Basel Convention COP to consider reviewing the technical guidelines on environmentally sound management, with additional guidance for waste contaminated with mercury.
    - that work needs to be carried out, in collaboration with the Basel Convention, to provide legal clarity with respect to the mercury waste status during transboundary movement of waste.
    - to assess the established threshold and consider renewing the mandate of the group of technical experts.
    - to review the threshold after 4 years according to the results of such assessment.

- **INF/12**: Technical information for the work of the expert group on mercury waste thresholds

- **INF/13**: Guidance document on test methods to be used for the tier-2 thresholds for tailings from mining other than primary mercury mining
Item 4(d): Mercury waste thresholds

- **5/7**: Establishment of mercury waste thresholds
- **INF/12**: Technical information for the work of the expert group on mercury waste thresholds
- **INF/13**: Guidance document on test methods to be used for the tier-2 thresholds for tailings from mining other than primary mercury mining

**INF/13: Guidance document on test methods to be used for the tier-2 threshold for tailings from mining other than primary mercury**

**Key considerations in selecting or defining tier-2 test methods**

**Liquid/Solid ratio**
As the L/S ratio affects liquid-solid equilibrium over the residence time of eluent in a leach test, the L/S ratio of the chosen test should not differ ±20% from a ratio of 10:1.

**Test pH**
As no in-situ pH control exists at sites where tailings are deposited, it is recommended to use an eluant at neutral pH (such as deionized water) without pH control (buffering).

**Test duration**
As oxidation, dissolution and interaction of “fresh” minerals in tailings are known to be slow enough to approximate initial near-equilibrium conditions, and weathering processes control release from tailings over the longer term, the duration of the chosen test is not to exceed 24-hours.
Suggested action for the COP

- The COP may wish to agree on a threshold value for waste contaminated with mercury or mercury compounds, noting the presented three possible values.
- The COP may wish to take a decision:
  - Establishing [25] [15] [10] mg/kg total concentration of mercury as the threshold for wastes contaminated with mercury or mercury compounds.
  - Providing for parties not using this threshold value.
  - Deciding to review the threshold at COP-7.
  - Extending the mandate of the group of technical experts.
  - Inviting parties to use the guidance document on the test methods for the tier-2 threshold for mine tailings.
  - Calling on parties in a position to do so to provide support to developing-country parties and parties with economies in transition.
  - Inviting the Basel Convention COP to update the technical guidelines as appropriate.

Any questions on the documents under agenda item 4(d)?
Item 4(l): Financial Rules
Item 4(l): Financial Rules

5/17: Financial rules for the conference of the Parties to the Minamata Convention on Mercury, its subsidiary bodies and the secretariat of the Convention

- MC-1/10 adopted the financial rules
- COP-2, COP-3 and COP-4 deferred consideration of bracketed text to COP-5
- COP-5 to consider and agree on the outstanding issues and adopt a decision:
  - Par 3 (e) of rule 5 on contributions – measures to take when payment schedules are not jointly decided on or respected
  - Annex to financial rules on procedure for the allocation of funding from the special trust fund for facilitating the participation of parties in COP meetings (par 2) and
  - Annex to financial rules on the preparation of a list of sponsored delegates by the head of the secretariat (par 5)
Item 6: Programme of work and budget
Programme of work and budget - Process

- **5/23**: Programme of work and budget: proposed operational budgets for the two funding scenarios for the biennium 2024-2025
- **INF/34**: Minamata Convention in 2022: progress report on activities
- **INF/35**: Information on financial matters
- **INF/36**: Programme of work and budget for the biennium 2024-2025: budget activity fact sheets

- Online budget forum (4 September until 13 October 2023)
- Online budget information session on 11 October 2023
- During COP-5:
  - Budget contact group:
    - Parties only, no observers
    - English only (no interpretation)
  - Propose the draft decision including budget and assessed contributions for the 2024-2025 biennium
  - Budgetary clearance of all other decisions to be adopted by COP-5

Plenary:
- Considers and adopts budget decision for COP-5 (programme of work and budget for 2024-2025)
Programme of work and budget - Highlights

- Challenges in budgeting due to inflation; increase in UN standard salary costs of 4.73%
- Zero nominal growth scenario (total of USD 8,163,793): No budget for effectiveness evaluation, national reporting and gender
- 5 per cent scenario (total of USD 8,560,989): Increase in executive direction and management; decreases in COP costs, effectiveness evaluation, national reporting and digital strategy (compared to 2022-2023 approved budget)
- P-3 Programme Officer for national reporting and effectiveness evaluation budgeted for 2025
- Both scenarios include a new part-time position GS-5 IT Assistant
Item 5: International cooperation and coordination
International cooperation and coordination

- **5/21**: International cooperation and coordination
- **5/22**: Cooperation and coordination between Minamata and BRS secretariats
- **INF 28**: Cooperation and coordination between Minamata and BRS secretariats
- **INF 29**: Report of the ED of UNEP
- **INF 31**: Report on the activities on mercury of relevant international bodies
- **INF 32**: Report by Global Mercury Partnership
- **INF 33**: Update on SAICM and the intersessional process considering the Strategic Approach and the sound management of chemicals and waste beyond 2020

- Document UNEP/MC/COP.5/21 includes information on activities undertaken by the secretariat to cooperate and coordinate with other entities, particularly in the chemicals and waste cluster. The report has been prepared by the secretariat and covers the period from February 2022 to June 2023.

- **Additional information** on cooperative activities undertaken by the secretariat is set out in the report on enhanced cooperation and coordination between the secretariat of the Minamata Convention and the secretariat of the Basel, Rotterdam and Stockholm conventions (UNEP/MC/COP.5/22) and the joint report on cooperation and coordination between the secretariat of the Minamata Convention and secretariat of the Basel, Rotterdam and Stockholm conventions (UNEP/MC/COP.5/INF/28, annex I). Reports by other relevant international organizations and initiatives are set out in documents UNEP/MC/COP.5/INF/29, UNEP/MC/COP.5/INF/31, UNEP/MC/COP.5/INF/32 and UNEP/MC/COP.5/INF/33.
Suggested action for the COP

The COP may wish to consider the draft decision and adopt a decision on enhanced cooperation and coordination.

The COP may

welcome the opportunity for the Secretariat to become an observer at the meetings of the UN Climate Change Conferences

- [welcome the adoption of the beyond 2020 global chemicals and waste framework by the ICCM5
- request the Secretariat to continue to enhance cooperation and coordination with the secretariat of the Strategic Approach to mutually support the implementation of the Convention and the beyond 2020 global chemicals and waste framework, and to develop a proposal for activities of such mutual support, to be included in the next programme of work, for consideration by COP-6];
- request the Secretariat to continue and further enhance cooperation with the WHO to support Parties' efforts to implement health-related aspects of the Convention

Are there specific questions on the documents just presented?
MINAMATA ONLINE
SEASON 3 - 2023
PHASE III

COP-5 PREPARATION

WEDNESDAY 30 AUGUST
13H00-14H30
Briefings on COP-5 documents: Day 1

THURSDAY 31 AUGUST
13H00-14H30
Briefings on COP-5 documents: Day 2

FRIDAY 8 SEPTEMBER
13H00-13H45
Financial resources and mechanism

FRIDAY 8 SEPTEMBER
14H00-14H45
National Reporting

THURSDAY 14 SEPTEMBER
13H00-13H45
Artisanal and small-scale gold mining (ASGM)

THURSDAY 14 SEPTEMBER
14H00-14H45
Effectiveness Evaluation

WEDNESDAY 11 OCTOBER
13H00-13H45
Programme of Work and Budget (closed-session)

In the run-up to the fifth meeting of the Conference of the Parties to the Minamata Convention on Mercury (COP-5), that will be held from 30 October to 3 November 2023, the third season of Minamata Online provides an opportunity to better understand the policy and scientific aspects of the Convention.

Join us again: registration is available at the Minamata Convention website.
http://www.mercuryconvention.org

Tentative calendar. Geneva time. Last update: 30 August 2023
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<thead>
<tr>
<th>Time</th>
<th>Monday 9 October</th>
<th>Tuesday 10 October</th>
<th>Wednesday 11 October</th>
<th>Thursday 12 October</th>
<th>Friday 13 October</th>
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<tr>
<td>11:00 – 12:00 CET</td>
<td>Sharing the results of the Specific International Programme: Strengthening the legal framework and institutional capacities of ECOWAS countries (Senegal, Burkina Faso &amp; Togo)</td>
<td>Addressing Hg pollution and biodiversity from science to action (UNEP &amp; BRI)</td>
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<tr>
<td>13:00 – 14:00 CET</td>
<td>Science Policy Panel on Chemicals, Waste and Pollution Prevention: Building the Linkages from Science to Action (GEN)</td>
<td>Separating the need for a phase-out of mercury-added products (MAPs) from the challenges of collecting, storing, management and disposal of MAPs in an environmentally sound manner (Botswana &amp; Burkina Faso)</td>
<td>COP-5 Briefing for Parties: Programme of Work and Budget (Minamata Convention Secretariat)</td>
<td>Restricting the international mercury trade: A critical measure for protection of indigenous peoples’ human rights (IPEN)</td>
<td>Mercury usage in small scale jewellery manufacturing sector and introducing non-mercury, environmentally friendly alternatives in Sri Lanka (Sri Lanka)</td>
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<td>16:30 – 17:30 CET</td>
<td>Mercury and Vulnerable Peoples: Pathways to Protection (UNEP)</td>
<td>Reinforcing the health sector’s commitment to the implementation of the Minamata Convention on Mercury: The showcase of GEF-UNEP-WHO projects on mercury-added products (WHO)</td>
<td>Advancing a Rights-based Approach to Addressing Mercury Contamination (OHCHR)</td>
<td>AMAP 2021 Assessment of Mercury in the Arctic: Findings relevant to the Minamata Convention &amp; Norway’s Mercury Assessment (Norway &amp; AMAP)</td>
<td>Turkish National Integrated Marine Pollution Monitoring Program (DEN-IZ) and PRTR Experience of Türkiye (Türkiye)</td>
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<tr>
<td>17:45 – 18:45 CET</td>
<td>Mercury Management: First temporary mercury storage unit and protocol of implementation in Colombia (Pure Earth)</td>
<td>Navigating uncharted waters towards Mercury-Free SIDS (BRI)</td>
<td>Intercultural Dialogues: the role of Amazonian indigenous governments towards effective implementation of the Minamata Convention (Gaia Amazonas Foundation, Colombia)</td>
<td>Accelerating the Phase Down of Dental Amalgam: Progress Continues (IADR)</td>
<td>Identification of mining sites: processing and tailings, through the use of drones (Costa Rica)</td>
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NOTE: Online Events seek to take account of global time zones. Organizers are expected to strictly keep to the schedule.
Thank you for your attention

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