

# MINAMATA ONLINE

SEASON 3 - 2022  
PHASE I

## INVITATION FOR COMMENTS TO THE INDICATORS FOR THE EFFECTIVENESS EVALUATION



This briefing on comments to the Indicators for the Effectiveness Evaluation takes place on **Wednesday, 16 November 2022** from 13:00 to 14:00 CET.

In [decision MC-4/11](#), the Conference of the Parties to the Minamata Convention on Mercury at its fourth meeting agreed to begin the first effectiveness evaluation. COP-4 adopted the framework for evaluating the effectiveness of the Convention and established the open-ended scientific group which has already begun its work.

COP-4 also requested the secretariat to support an intersessional process to refine the list of **indicators** to be used in the effectiveness evaluation process, with a view to providing a final list of indicators for consideration and possible adoption by the Conference of the Parties at its fifth meeting.

This session serves to provide information on the invitation for comments to the indicators for the effectiveness evaluation for the preparation of the documentation for COP-5 consideration.

### SPEAKERS



**Claudia ten Have**

Senior Policy and Coordination Officer  
Secretariat of the Minamata Convention on Mercury



**Linh Doan**

Programme Management Assistant  
Secretariat of the Minamata Convention on Mercury

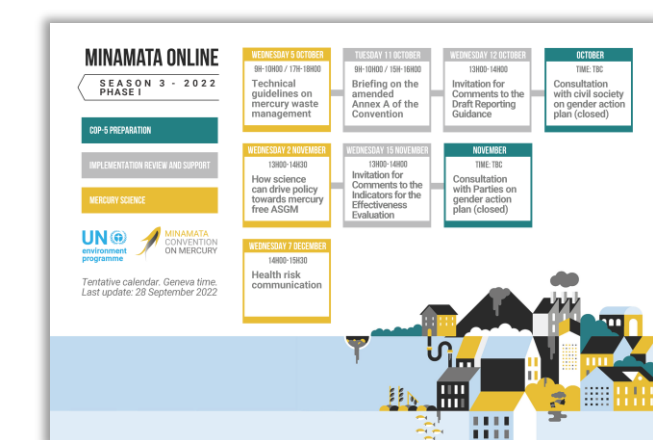


**WEDNESDAY, 16 NOVEMBER 2022**

**13H00 - 14H00 CET**

*Please register for the WebEx session using the link above.*

More about [Minamata Online](#)



IMPLEMENTATION REVIEW  
AND SUPPORT

# What is covered in this briefing?

<b>Focus</b>	<b>COP-4 in decision MC-4/11 on “The first effectiveness evaluation of the Minamata Convention on Mercury”, requested the Secretariat:</b>  <b>Para 7: To support an intersessional process to refine the list of indicators to be used in the effectiveness evaluation process, with a view to providing a final list of indicators for consideration and possible adoption by the Conference of the Parties at its fifth meeting.</b>
	Overview of decision MC-4/11 on “The first effectiveness evaluation of the Minamata Convention on Mercury”
	Background on the development of indicators for the effectiveness evaluation of the Minamata Convention on Mercury
	Introduction of the draft refined list of indicators prepared by the Secretariat for comment
	Information on the online workspace for indicators
<b>Open Floor for any clarifications or any other information to support the preparation of comments for submittal by 31 January 2023.</b>	

# Overview of decision MC-4/11





# Decision MC-4/11: The first effectiveness evaluation of the Minamata Convention on Mercury



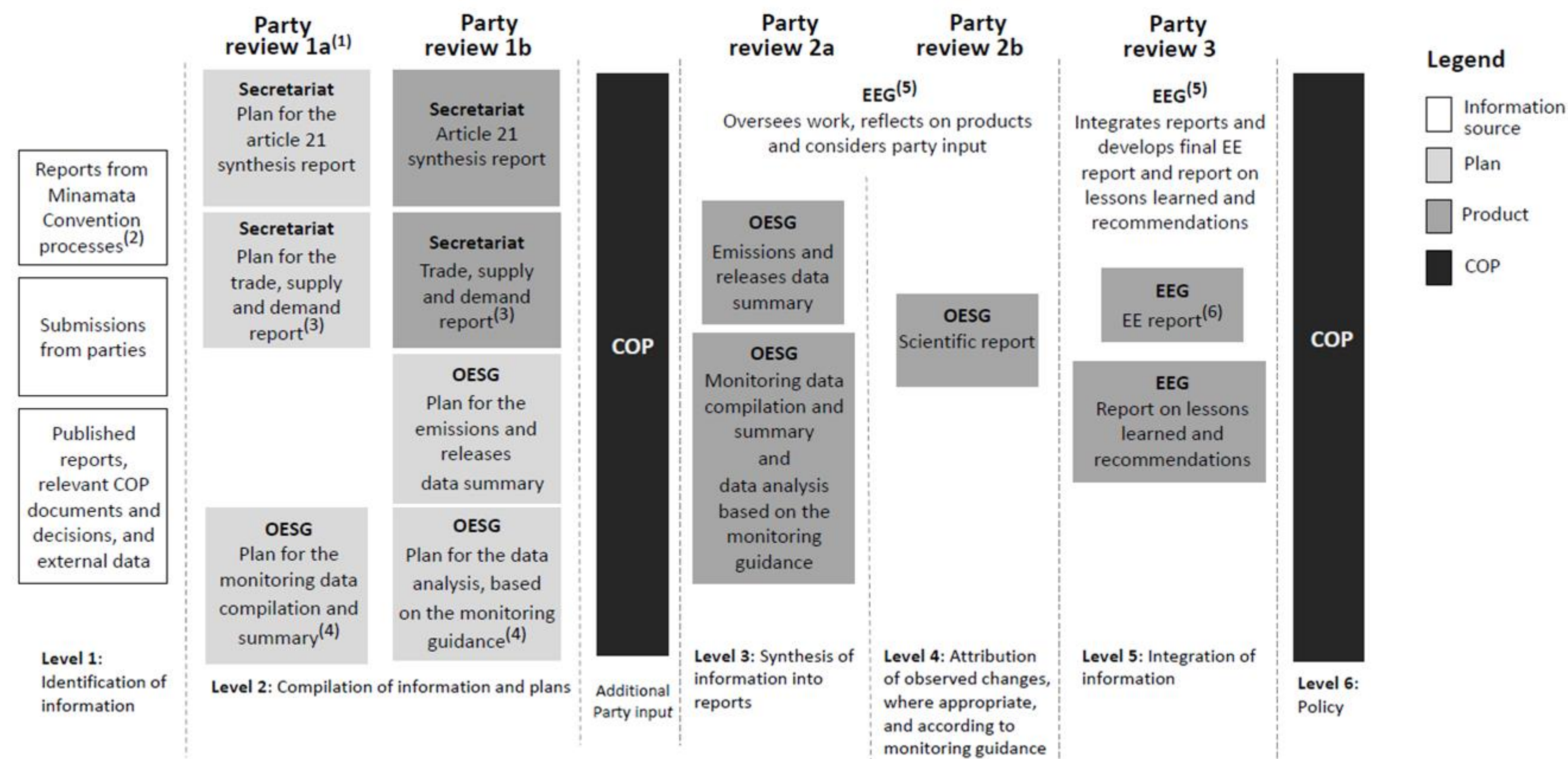
## Four preambular paragraphs, and eight operative paragraphs:

1. *Agreed* to begin the first effectiveness evaluation.
2. *Decided* to adopt the framework for the effectiveness evaluation.
3. *Decided* to continue consideration of the effectiveness evaluation group at its fifth meeting.
4. + 5. *Established* an open-ended scientific group and requested to call for nominations to the group.
6. *Requested* the secretariat to support the work of the effectiveness evaluation.
7. *Requested* the secretariat to support an intersessional process to refine the list of indicators to be used in the effectiveness evaluation process, with a view to providing a final list of indicators for consideration and possible adoption by the Conference of the Parties at its fifth meeting.
8. *Encouraged* parties to undertake, continue or expand upon their efforts with regards to Article 14 and Article 19 for Article 22.

Please see [link](#) to the decision for the exact language.



Framework for the evaluation of the effectiveness of the Minamata Convention on Mercury



(1) Plans and products will be shared for review as they become available.

(2) Article 15 report, and reports and other relevant information on articles 13 and 14.

(3) Including mercury waste flows and stocks.

(4) Taking into consideration the monitoring guidance.

(5) The terms of reference of the effectiveness evaluation group (EEG), as set out in an annex to the conference room paper circulated at the resumed fourth meeting of the Conference of the Parties, were the outcome of the work of the contact group on effectiveness evaluation at that meeting. That text is reproduced in annex II to the report of the in-person segment of the fourth meeting of the Conference of the Parties (UNEP/MC/COP.4/28/Add.1). All the elements of the terms of reference of the EEG were agreed upon, except for its membership.

(6) Considering indicators, without prejudice to the final agreement of the Conference of the Parties.



### Terms of reference for the Open-ended Scientific Group

#### A. Mandate

1. The Conference of the Parties decides to establish an Open-ended Scientific Group, to provide the Conference of the Parties with an expert scientific modality as described in paragraph 3 below.
2. The Open-ended Scientific Group will start its work following the fourth meeting of the Conference of the Parties and will conclude its term at the end of the first effectiveness evaluation cycle.

#### B. Tasks

3. The Open-ended Scientific Group will develop a scientific report in which it will compile, analyse and synthesize comparable mercury monitoring data on changes in mercury concentrations in environmental media, biotic media and the human population, including vulnerable populations over time, the availability of scientific information on mercury levels in the environment and human populations, and the interactions between scientific data and financial assistance, technology transfer, and capacity-building; evaluate the impact on mercury levels in the environment and humans of the measures taken under the Minamata Convention on Mercury, and draw conclusions thereon for the consideration of the Effectiveness Evaluation Group.<sup>4</sup>
4. The scientific report will be composed of the following elements, built in a two-stage process: the plan for the monitoring data compilation and summary, and the plan for data analysis consistent with the monitoring guidance (stage 1); the monitoring data compilation and summary, and the data analysis addressing the guiding questions outlined in the monitoring guidance (stage 2).
5. In addition, the Open-ended Scientific Group will provide an analysis of data gaps, including the identification of existing gaps as well as potential scientific actions to address identified gaps in information and knowledge related to monitoring, and lessons learned, to be submitted to the Effectiveness Evaluation Group.
6. The Open-ended Scientific Group, with the support of the secretariat, will develop a plan and a summary of available emissions and releases data, including available emissions and releases data from national inventories, and estimates of emissions and releases data not available from national inventories.

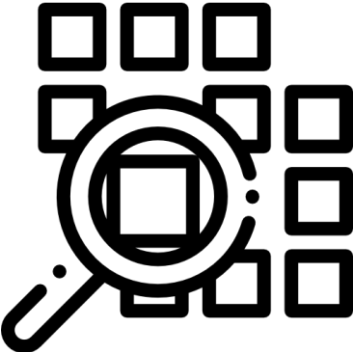
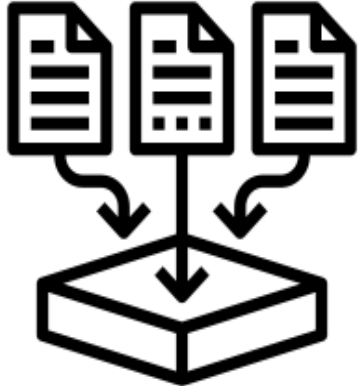
[Link](#) to  
intersessional  
page on ongoing  
work of the OESG

# Background on the development of indicators for the effectiveness evaluation



# Art 21 and Art 22 to support the achievement of the objective of the Minamata Convention (Art 1)




Tracking Implementation	versus	Tracking Change
<div><div>Implementation Art. 21 <i>National Reports</i></div></div> <p><i>Each Party shall report to the COP on the measures taken to implement the <b>provisions</b> of the Convention and on the effectiveness of such <b>measures</b> and the possible challenges in meeting the objective of the Convention.</i></p> <p><u>MC-1/8</u> Short reports – 4 questions Full reports – 43 questions</p> <p><u>Art. 15</u>: Implementation and Compliance Committee</p>		<div><div>Change Art. 22 <i>Effectiveness Evaluation</i></div></div> <p><i>The COP shall evaluate the effectiveness of this Convention ... [and] initiate the establishment of arrangements for providing itself with <b>comparable monitoring data</b> on the presence and movement of mercury ... in the environment as well as trends in levels of mercury ... observed in biotic media and vulnerable populations. ...</i></p> <p><i>The evaluation shall be conducted on the basis of <b>available ... information</b>, including (a) ... monitoring information ..., (b) Reports submitted [for] <b>Art. 21</b>, ... and (d) ... <b>other relevant information</b> ...</i></p>




**Art. 1** The objective of the Convention is to protect the human health and the environment from anthropogenic emissions and releases of mercury and mercury compounds.





### Control Measures

Keep mercury underground	Reduce the use and presence of mercury in the economy, industry and society	Reduce mercury to the environment
Art. 3.3: No new primary mines	Art. 3.5 (a): <u>Stocks</u>	
Art. 3.4: Existing mines - 15 years	Art. 3.5 (b): <u>Excess mercury</u> from decommissioned chlor-alkali facilities	Art. 7: <u>ASGM</u>
	Art. 3.6 – 3.10: <u>Trade</u> of mercury	
	Art. 4: Mercury-added <u>Products</u>	Art. 8: <u>Emissions</u>
	Art. 5: Manufacturing <u>Processes</u>	
	Art. 7: <u>ASGM</u>	
	Art. 10: <u>Interim Storage</u>	Art. 9: <u>Releases</u>
	Art. 11: <u>Mercury wastes</u>	
	Art. 12: <u>Contaminated sites</u>	



### Enabling / Supportive Context

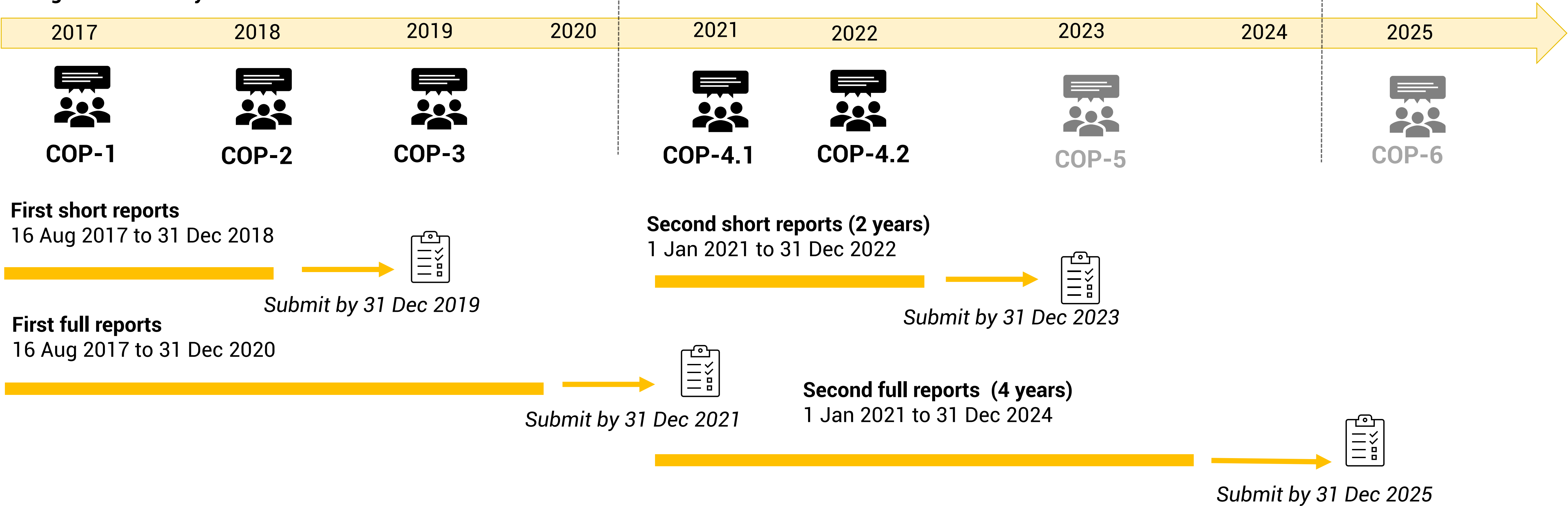
Art. 13: Financial Mechanism  
Art. 14: Capacity-building, technical assistance and technical transfer

Art. 15: Implementation and Compliance Committee  
Art. 16: Health aspects  
Art. 17: Information Exchange  
Art. 18: Public information, awareness and education  
Art. 19: Research, development and monitoring  
Art. 20: Implementation plans  
Art. 21: Reporting  
Art. 22: Effectiveness evaluation  
Art. 23: Conference of the Parties  
Art. 24: Secretariat  
Arts. 25-35: Various procedural articles

# Sources of Information for the Evaluation

## Art.21 National Reports Timeline

16 August 2017: Entry into force of the Convention



## Art.22 Effectiveness Evaluation

The COP is to evaluate the effectiveness of the Convention, beginning no later than six years after the date of the entry into force. COP-4 decided to begin the first effectiveness evaluation

The effectiveness evaluation is to be conducted based on **available information** and using **performance indicators**.  
**Art.21 Reports** are one ongoing source of available information to the COP.

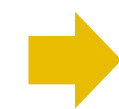


# Background on the development of the Indicators

## COP-3

- ❖ Decision MC-3/10
- ❖ Decision MC-3/10, annex I

COP-3 invited Parties to **submit views** on the indicators set out in annex I and requested the Secretariat to **compile** those views in advance of COP-4.



## Consultations on the Indicators in advance of COP-4

To support Parties in the presentation of their submission of views, the Secretariat facilitated four online information, exchange and consultation sessions between 2020 and 2022.

Subsequent to that and the submissions received two documents were prepared for COP-4 specific on indicators:

- ❖ UNEP/MC/COP.4.18/Add.1: **Giving effect to article 22 of the Minamata Convention on Mercury: effectiveness evaluation – Indicators**
- ❖ UNEP/MC/COP.4/INF/11: **Compilation of views on the proposed indicators for evaluating the effectiveness of the Minamata Convention on Mercury**



## COP-4

- ❖ Decision MC-4/11

COP-4 requested the Secretariat to support an intersessional process to **refine the list of indicators** to be used in the effectiveness evaluation process, with a view to providing a **final list of indicators** for consideration and possible adoption by COP-5.

# Introduction of the draft refined list of indicators prepared by the Secretariat for comment





*display draft refined list of indicators for comment*

## Observations by the Secretariat regarding the draft refined list of indicators

1. The indicators in the draft refined list use Art 21 reporting as a **source** for information.
2. Indicators can be linked to **specific questions** in the Art 21 national reporting format.
3. This is a shorter list than the original list, **incorporating the views** expressed in submissions and during the exchanges and consultations, including the requests for simplification, avoidance of duplication, and focus on relevance of the indicators as a tool for the effectiveness evaluation process and the objective of the Convention.
4. The language for the indicators was checked against the **Convention text**, and the wording of the congruent **Art 21 national reporting question**.
5. In three instances, the Secretariat has offered a “**Secretariat note**” rather than language on the indicator.
6. **Monitoring matters** for the effectiveness evaluation process are being dealt with in the Open-Ended Scientific Group (OESG).

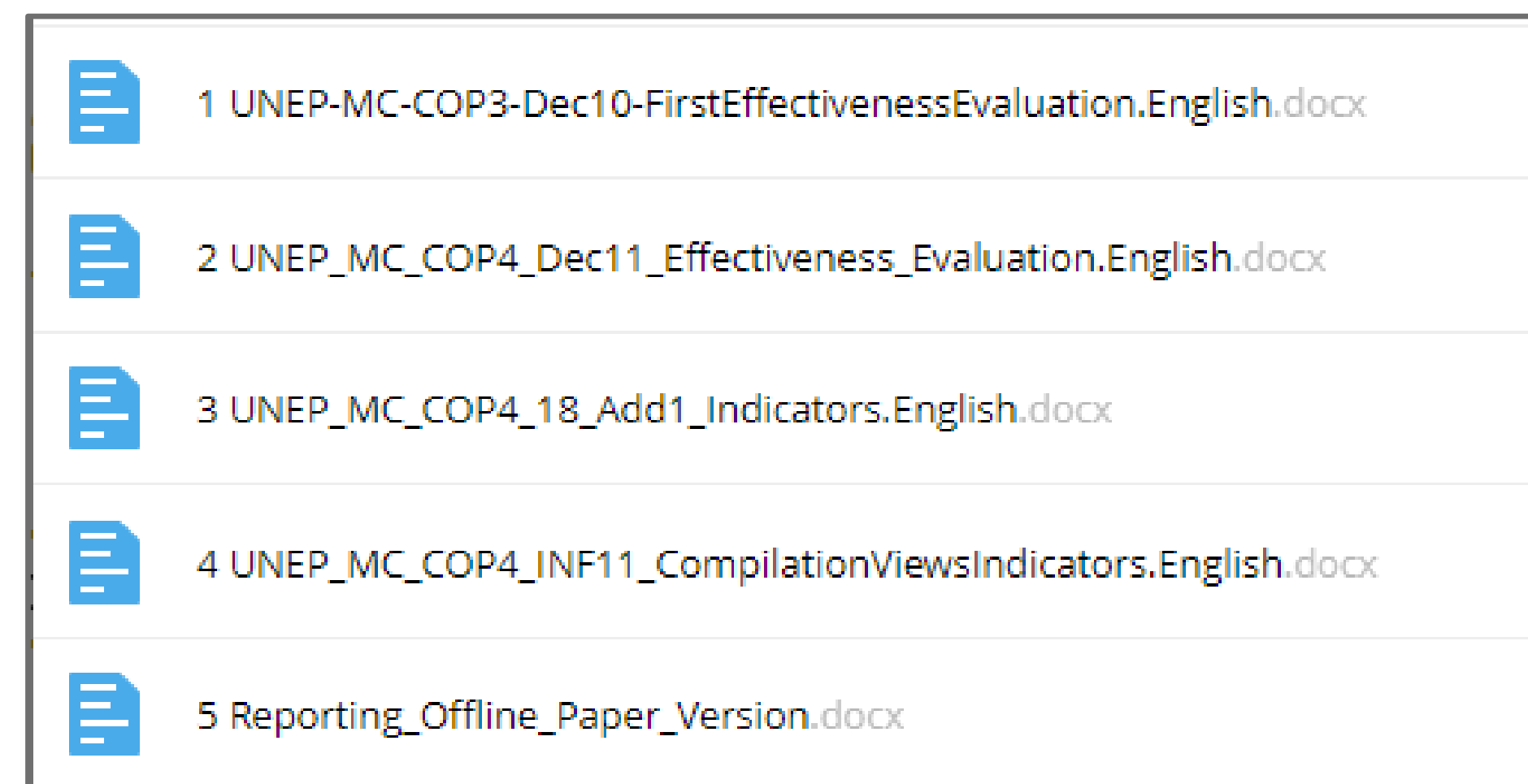
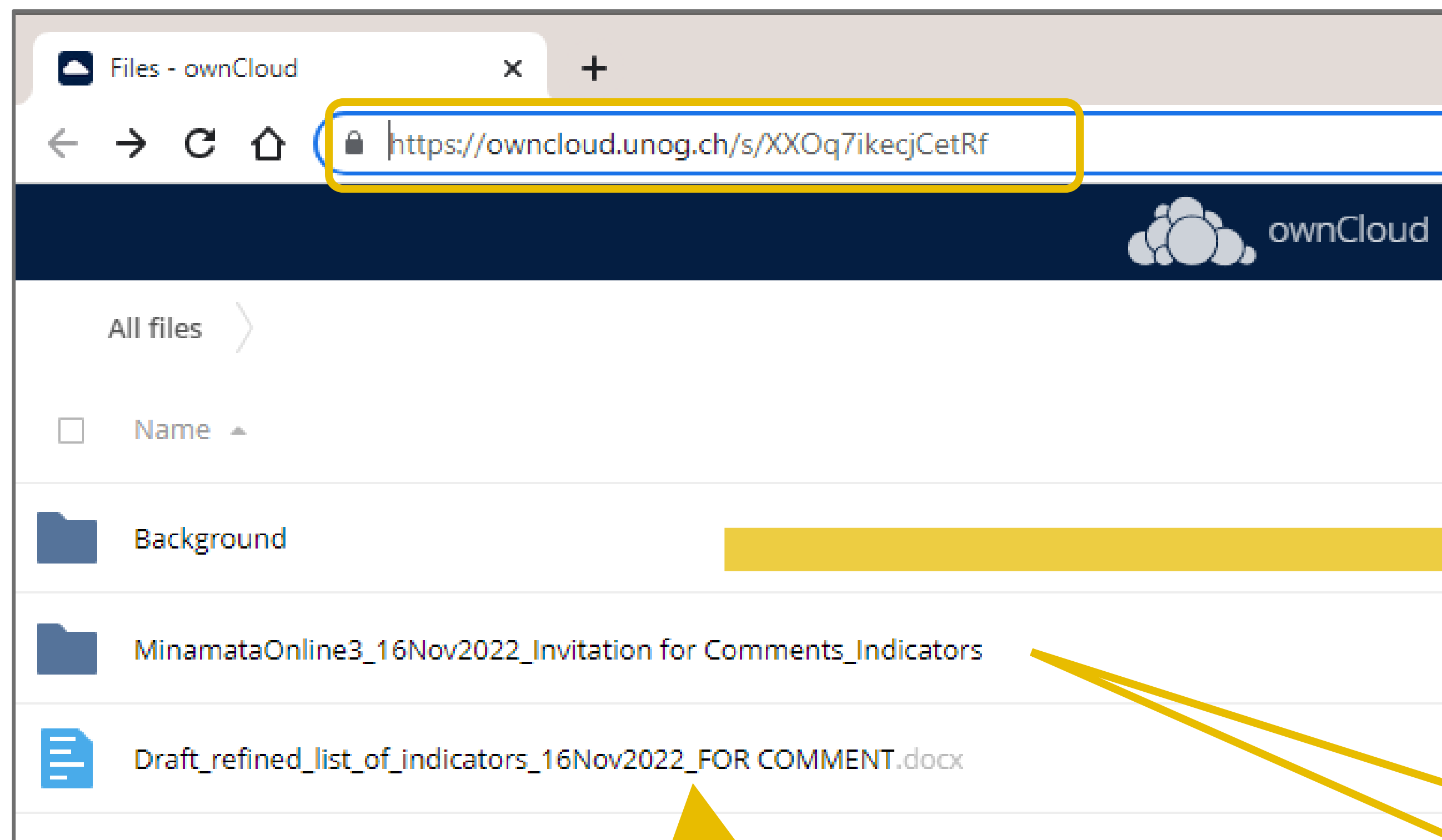


# Information on the online workspace for indicators





## [LINK](https://owncloud.unog.ch/s/XXOq7ikecjCetRf) to online workspace for all materials



Draft refined list of  
indicators for comment

The presentation and video  
recording of this session  
will be made available here



Open Floor for clarifications on  
comments for submittal by  
Tuesday, 31 January 2023.



# MINAMATA ONLINE

SEASON 3 - 2022  
PHASE I

COP-5 PREPARATION

IMPLEMENTATION REVIEW AND SUPPORT

MERCURY SCIENCE



MINAMATA  
CONVENTION  
ON MERCURY

*Tentative calendar. Geneva time.  
Last update: 4 October 2022*



MINAMATA  
CONVENTION  
ON MERCURY

WEDNESDAY 5 OCTOBER

9H-10H00 / 17H-18H00

Technical  
guidelines on  
mercury waste  
management

TUESDAY 11 OCTOBER

9H-10H00 / 15H-16H00

Briefing on the  
amended  
Annex A of the  
Convention

WEDNESDAY 12 OCTOBER

13H00-14H00

Invitation for  
Comments to the  
Draft Reporting  
Guidance

WEDNESDAY 2 NOVEMBER

13H00-14H30

How science  
can drive policy  
towards mercury  
free ASGM

TUESDAY 15 NOVEMBER

14H00-16H00

Stakeholder  
consultation on  
gender action  
plan (closed)

WEDNESDAY 16 NOVEMBER

13H00-14H00

Invitation for  
Comments to the  
Indicators for the  
Effectiveness  
Evaluation

TUESDAY 6 DECEMBER

15H00-17H00

Consultation  
with Parties on  
gender action  
plan (closed)

WEDNESDAY 7 DECEMBER

14H00-15H30

Health risk  
communication





# Thank you for your attention

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[#MakeMercuryHistory](https://twitter.com/minamataMEA)