



Article 22, paragraph 1 states that the Conference of the Parties shall evaluate the effectiveness of this Convention, beginning no later than six years after the date of entry into force of the Convention and periodically thereafter at intervals to be decided by it.

At **COP-4.1**, the Conference of the Parties agreed to holding intersessional consultations on the framework for evaluating the effectiveness of the Convention, and that the Secretariat would invite written comments on the framework in preparation for further discussions to give full effect to Article 22 at **COP-4.2**.

In this **Online Information Session**, the Secretariat will inform on the work to give effect to Article 22, the progress made thus far and the remaining areas of work. The Information Session is intended to help Parties in preparation of their written comments. Written comments are to be submitted by **Thursday, 20 January 2022**.

- ✓ [MC/ES/2021/108 - Executive Communication](#)
- ✓ [UNEP/MC/COP.4/18 - Giving effect to article 22: effectiveness evaluation](#)
- ✓ [UNEP/MC/COP.4/CRP.1 - A framework for the first Effectiveness Evaluation of the Minamata Convention on Mercury](#)

RESOURCES



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WEDNESDAY, 15 DECEMBER 2021

13H00-14H00 CET

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COP-4 BRIEFING



1. COP-4 Item 4(i) on Effectiveness Evaluation



2. COP-4.1 Outcome: Consultations on the framework for evaluating the effectiveness of the Convention in preparation of COP-4.2



3. Plan of Work for the Consultations in preparation of COP-4.2



4. Giving effect to Article 22: Progress made thus far and the remaining areas of work

- ❖ UNEP/MC/COP.4/18 – Giving effect to Article 22: effectiveness evaluation
- ❖ UNEP/MC/COP.4/CRP.1 – Conference room paper on a framework for the first effectiveness evaluation of the Minamata Convention: Proposal by Norway and Canada



5. Comments, Reflections and Contributions from Parties, including on the conduct of the Consultations

COP-4 Item 4(i) Effectiveness Evaluation



Item 4(i): Effectiveness Evaluation

- ▶ **4/18:** Giving effect to article 22: effectiveness evaluation
- ▶ **4/18/Add.1** Indicators
- ▶ **4/18/Add.2** Guidance on monitoring of mercury and mercury compounds to support the effectiveness evaluation of the Minamata Convention: Executive Summary
- ▶ **INF/11:** Compilation of views on the proposed indicators
- ▶ **INF/12:** Guidance on monitoring of mercury and mercury compounds
- ▶ **INF/25:** Supplemental info

Paragraph 1 of article 22 of the Minamata Convention on Mercury stipulates that the Conference of the Parties is to evaluate the effectiveness of the Convention, beginning no later than six years after the date of entry into force of the Convention and periodically thereafter at intervals to be decided by it.

[MC-3/10 - Arrangements for the first effectiveness evaluation of the Minamata Convention on Mercury](#)

[DOWNLOAD](#) | Decision | 07.01.2020 | 572.94 KB

In **decision MC-3/10**, the Conference of the Parties agreed on three areas of work to be carried out following its third meeting and in advance of its fourth meeting: indicators, guidance on monitoring, and reports provided for in the effectiveness evaluation framework.

- **4/18/Add.1 and INF/11: Indicators**
- **4/18/Add.2 and INF/12:** Advancing the work on **drafting guidance on monitoring** to maintain harmonized, comparable information on mercury levels in the environment
- **4/18:** Advancing the drafting of the **Article 21 synthesis report**
- **4/18:** Advancing of the drafting of the **Trade, Supply and Demand report** (which includes mercury waste flows and stocks)

COP-4 Approach on Effectiveness evaluation



- ▶ **4/18:** Giving effect to article 22: effectiveness evaluation
- ▶ **4/18/Add.1** Indicators
- ▶ **4/18/Add.2** Guidance on monitoring of mercury and mercury compounds to support the effectiveness evaluation of the Minamata Convention: Executive Summary
- ▶ **INF/11:**Compilation of views on the proposed indicators
- ▶ **INF/12:** Guidance on monitoring of mercury and mercury compounds
- ▶ **INF/25:** Supplemental info

COP-4.1 is to open the agenda item and introduce the documents on effectiveness evaluation

Plenary

Monday: **Presentation** of the documents prepared for COP-4

Furthermore, as there have been **party-led consultations** further to intersessional work on the effectiveness evaluation, this provides the opportunity for the Conference of the Parties to be informed of these consultations too.

COP-4.2 is to resume the agenda item, consider the documents and any other information the Conference of the Parties foresees on effectiveness evaluation

Considerations of the intersessional work and party-led consultations will be opened during the in-person segment.

Consultations on the framework





❖ UNEP/MC/COP.4/28 – Report of the Conference of the Parties to the Minamata Convention on Mercury on the work of its fourth meeting: Online segment (1-5 November 2021)

- The Secretariat presented the work done **intersessionally** as mandated by Decision MC-3/10.
- The Secretariat also presented an **overview** contained in UNEP/MC/COP.4/18 of the work mandated and done since COP-1, and the remaining areas of work needed for the COP to put in place the framework and arrangements to conduct the first effectiveness evaluation.
- President recalled that some Parties had initiated **informal consultations** on items that remained unresolved at COP-3 and which had not been subject to working under Decision MC-3/10. These informal consultations were thus brought forward to the COP at COP-4.1 as UNEP/MC/COP.4/CRP.1.

Overview of COP-4.1 Outcome (cont.)



❖ UNEP/MC/COP.4/28 – Report of the Conference of the Parties to the Minamata Convention on Mercury on the work of its fourth meeting: Online segment (1-5 November 2021)

- Following the ensuing discussion, there was general consensus that it was **worthwhile pursuing discussions on issues on which consensus had yet to be reached** to help avoid delays and ensure that the deadline for the establishment of the first effectiveness evaluation could be met.
- The Secretariat informed that it would be in a position to invite **written comments on the framework** and arrange an online session to enable **Parties to exchange views** thereon in preparation for the resumed fourth meeting.
- The Conference thus agreed to the holding of **intersessional consultations** in this manner.

Plan of Work



Plan of Work for the Consultations on the framework to evaluate the effectiveness of the Convention, in preparation for the return to Agenda Item 4(i) on effectiveness evaluation at the resumed fourth meeting

Wednesday, 15 December 2021 from 13:00 to 14:00 CET	Online Information Session: The Secretariat will inform on the areas of work to give effect to article 22, the progress made thus far and the remaining areas of work on the effectiveness evaluation framework and related matters. The information session may also serve to allow Parties to share views and perspectives on the framework to evaluate the effectiveness of the Convention additional to the documents, and for the conduct of the agreed consultations among Parties in preparation of the resumed fourth meeting.
Until Thursday, 20 January 2022	Written Comments Deadline: Parties are invited to submit written comments on the framework to evaluate the effectiveness of the Convention as soon as possible, and no later than Thursday, 20 January 2022. The same deadline applies to stakeholders who may wish to provide their input.
Across Tuesday, 25 January to Thursday, 27 January 2022 – <i>exact dates, format and times to be confirmed in early January 2022</i>	Online Consultation Session: The Secretariat will facilitate an online consultation session on the written comments received and provide the opportunity for additional comments to be made. This consultation session will also enable clarification on comments and responses, additional questions that may have arisen, and the exchange of other relevant information on the framework to evaluate the effectiveness of the Convention and give full effect to Article 22. Parties may wish to submit further and or additional comments after this session.
February 2022	Compilation of comments and input received: The Secretariat compiles the comments and input received in light of the consultations on the framework for evaluating the effectiveness of the Convention, to support further consideration by the Conference of the Parties at the resumed fourth meeting under agenda item 4(i) on effectiveness evaluation.



Calendar View in preparation for COP-4.2



November 2021	December 2021	January 2022	February 2022	March 2022
<p>1-5 Nov: <u>COP-4.1</u> convened online</p> <p>Agenda item 4(i) was opened.</p> <p><i>At COP-4.1 there was general consensus that it was worthwhile pursuing discussions on issues where consensus had not yet been reached to ensure the deadline for the establishment of the first effectiveness evaluation could be met.</i></p>	<p>15 Dec: <u>Online Information Session</u> to kick-off Consultations on the framework to evaluate the effectiveness of the Convention</p> <p><i>Parties are invited to prepare and submit their written comments as soon as possible, and no later than 20 January 2022.</i></p>	<p>20 Jan: Deadline to submit <u>written comments</u> on the framework to evaluate the effectiveness of the Convention.</p> <p>Across 25-27 Jan: <u>Online Consultation Session</u> on the written comments received, including to provide the opportunity for additional comments and clarifications to be made.</p>	<p>Feb: Secretariat <u>compiles</u> the comments and input received in light of the consultations, to support further consideration at COP-4.2</p> <p><u>Regional preparatory meetings</u> (TBC)</p> <p>7 Feb: Asia-Pacific 8 Feb: Africa 9 + 11 Feb: GRULAC 10 Feb: Eastern Europe</p>	<p>21-25 Mar: <u>COP-4.2</u> convenes in-person in Bali, Indonesia.</p> <p>Agenda item 4(i) will be resumed to consider documents prepared for COP-4 on effectiveness evaluation.</p> <p><i>The COP may also draw on the Consultations agreed to at COP-4.1.</i></p>

Giving effect to Article 22




Giving effect to Article 22: Mandates



❖ UNEP/MC/COP.4/18 – Giving effect to Article 22: effectiveness evaluation

- Since COP-1 (Sept 2017), the Conference of the Parties, the Parties, the ad hoc technical expert group*, and the Secretariat have undertaken work in various areas to give full effect to Article 22 on effectiveness evaluation.

Entry into force 16 Aug 2017	COP-1 24-29 Sept 2017	COP-2 19-23 Nov 2018	COP-3 25-29 Nov 2019	COP-4 1-5 Nov 2021 21-25 Mar 2022
Convention, Article 22	Decision MC-1/9	Decision MC-2/10	Decision MC-3/10	

The COP is to evaluate the effectiveness of the Convention, beginning no later than six years after the date of the entry into force.




* The ad hoc technical expert group worked between COP-1 and COP-2, and between COP-2 and COP-3.

Giving effect to Article 22: Done/Remaining



❖ UNEP/MC/COP.4/18 – Giving effect to Article 22: effectiveness evaluation

Areas of work to give effect to Article 22 (see pages 5-8 of the above document for full details)

Framework and arrangements	Convention text or COP decision	Notes and progress made	Remaining areas of work
First effectiveness evaluation cycle	Para 1 of Article 22	15 August 2023	The COP is to decide to begin the first effectiveness evaluation, and further at which meeting it wishes to receive the reports that it requires in order to conduct and conclude on the first effectiveness evaluation.
Elements of an effectiveness evaluation framework	Decision MC-1/9 Decision MC-2/10  Establish a committee to prepare a report for the COP	Document UNEP/MC/COP.3/14: contains outcome of work on COP-1 and COP-2 decisions. Following COP-3 deliberations, Decision MC-3/10 sets out 3 areas of work for COP-4.	The COP is to conclude on the elements of the effectiveness evaluation framework , including the mandate and terms of reference of the effectiveness evaluation committee ; the approach on the indicators and the steps and schedule of the effectiveness evaluation. Note: Document UNEP/MC/COP.4/18/INF/11 contains the compilation of parties' views on the indicators.



❖ UNEP/MC/COP.4/18 – Giving effect to Article 22: effectiveness evaluation

Suggested Action:

In light of the requirement ... that the COP evaluate the effectiveness no later than six years after the entry into force, and based on the work done since COP-1, COP-4 may wish to:

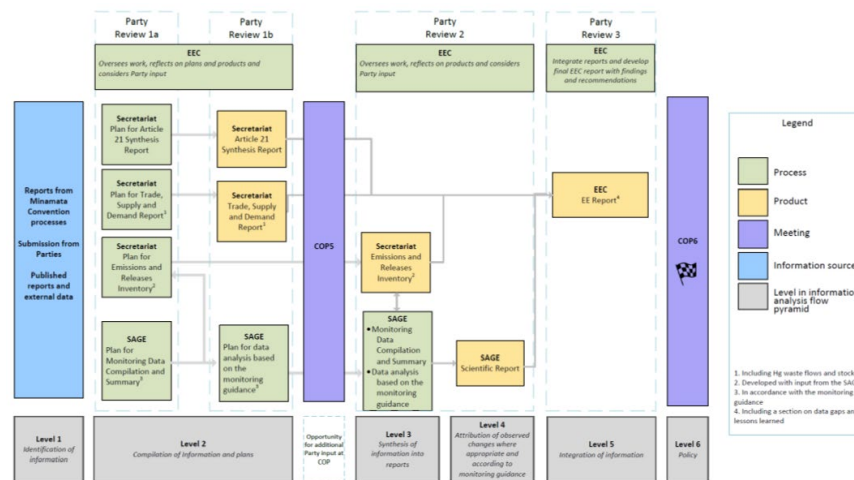
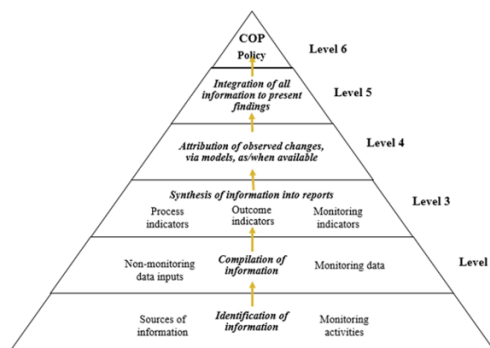
- ❑ Consider **beginning** the first evaluation,
- ❑ Set the meeting at which the first evaluation will **conclude**,
- ❑ Consider establishing the **effectiveness evaluation committee** tasked with preparing the report for the COP and overseeing scientific and technical work in that regard,
- ❑ Consider the indicators for evaluating the effectiveness of the Convention based on the work done so far,
- ❑ (*page 4 of the document*).

Giving effect to Article 22: Additional Material brought to COP-4.1



- ❖ UNEP/MC/COP.4/CRP.1 – Conference room paper on a framework for the first effectiveness evaluation of the Minamata Convention: Proposal by Norway and Canada

“In this Conference Room Paper (CRP), Norway and Canada propose a path forward to establish a framework for the first effectiveness evaluation of the Minamata Convention on Mercury. This CRP presents a draft decision and proposed framework which includes: an effectiveness evaluation structure, process, and timeline; terms of reference for the Effectiveness Evaluation Committee, and; terms of reference for a Scientific Advisory Group on Effectiveness Evaluation.”



Terms of reference for the Effectiveness Evaluation Committee

A. Mandate

1. The Effectiveness Evaluation Committee (hereinafter, the “Committee”) will be responsible for and oversee the development of the effectiveness evaluation (EE) report, as requested by the Conference of the Parties (COP). The Committee will integrate information and knowledge collected and synthesized during the process into a final report to the COP.

Terms of reference for the Scientific Advisory Group on Effectiveness Evaluation (SAGE)

A. Mandate

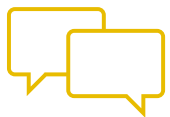
1. The Scientific Advisory Group on Effectiveness Evaluation (hereinafter, the “SAGE”) will provide the Conference of Parties (COP) with an expert scientific modality and carry out the tasks of identification, compilation and synthesis related to monitoring and analysis of environmental data to support the work of the Effectiveness Evaluation Committee (hereinafter, the “Committee”).

Comments and reflections on the framework

and on conducting the Consultations



To give effect to Article 22



Written comments for the Consultations on the **framework** to evaluate the effectiveness of the Convention, in preparation for the resumption of Agenda Item 4(i) at COP-4.2 in Bali, Indonesia

In view of the **documents** prepared for COP-4, the **interventions** made at COP-4.1 and the **consultations** agreed on in preparation for COP-4.2, Parties may wish to refer to the following in their preparation of written comments and consideration of giving effect to Article 22:

- ❖ UNEP/MC/COP.4/18
- ❖ UNEP/MC/COP.4/28
- ❖ UNEP/MC/COP.4/CRP.1

draft decision text

schedule and timeline

steps required and process

draft terms of reference for the committee developing the effectiveness evaluation

arrangements to provide the COP with comparable monitoring data for the effectiveness evaluation

framework for the first evaluation of the effectiveness of the Convention

Important

Kindly send written comments for the Consultations on the framework to evaluate the effectiveness of the Convention by Thursday, 20 January 2022.

Email to: mea-minamatasecretariat@un.org

For any queries, kindly contact: Claudia.tenHave@un.org

Parties are free to provide both general and/or specific comments.

The same deadline also applies to stakeholders who may wish to provide their input.

Comments and reflections on the conduct of the consultations can also be shared with the Secretariat to ensure delivery of best support to Parties' participation and contribution.

More detailed information on the Online Consultation Session from 25-27 January 2022 will be communicated in January.



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CONVENTION
ON MERCURY

Thank you for your participation today!

Secretariat of the Minamata Convention on Mercury

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